



THE STATE BAR  
OF CALIFORNIA

Office Of Chief Trial Counsel  
Intake

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## Discipline Referral Form

*To be used by Judicial Officer or Court Staff only*

Please complete this form for referral of attorney discipline matters concerning possible violation of the California Rules of Professional Conduct or the California Business and Professions Code. *Please send completed form to The State Bar of California, Intake, at the above address or fax number.*

### I. Attorney Involved:

Name:

Bar No.:

Address:

If the attorney is represented by counsel, please provide the name and address of that counsel:

**II. Reportable Actions:** Please check the applicable box and provide a copy of the court decision or order containing the action being reported.

A judgment against an attorney for fraud, misrepresentation, breach of fiduciary duty, or gross professional negligence. (Cal. Bus. & Prof. C. § 6086.8(a).)

A final order of contempt against an attorney which may warrant discipline. (Cal. Bus. & Prof. C. § 6086.7(a)(1).)

The modification or reversal of a judgment based in whole or in part on attorney misconduct or incompetence. (Cal. Bus. & Prof. C. § 6086.7(a)(2).)

The imposition of judicial sanctions, except for failure to make discovery or sanctions under \$1,000. (Cal. Bus. & Prof. C. § 6086.7(a)(3).)

The imposition of a civil penalty upon an attorney pursuant to section 8620 of the Family Code regarding adoption of children with Indian tribal affiliations. (Cal. Bus. & Prof. C. § 6086.7(a)(4).)

The finding of bad faith by a prosecuting attorney in withholding exculpatory evidence. (Cal. Bus. & Prof. C. § 6086.7(a)(5).)

The conviction of an attorney. (Cal. Bus. & Prof. C. § 6101(c).)

The finding of violation by a lawyer selling financial services to a client who is an elder or dependent adult without required disclosure of the lawyer's commission. (Cal. Bus. & Prof. C. § 6175.6.)

**III. Other Professional Misconduct:** Please describe the nature of the attorney's conduct which may warrant disciplinary action and attach any court order or decision regarding the misconduct or any document filed by the attorney which is the subject of the misconduct.

Nature of Misconduct:

Name and Case Number of Court Matter involved:

Do you wish to be kept informed of the status of the matter as a complainant?    Yes    No

**IV. Report Submitted By/On Behalf Of:**

Name/Position:

Telephone:

Address:

Signature:

Date:

Name, title, email address and telephone number of person to contact for further information:

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