



The State Bar of California

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Statutory Citation: Business and Professions Code sections 6086.15, 6094.5(d), 6095(b), and 6177; Civil Code section 55.32(f)(1); Insurance Code section 1872.95(a)
Date of Report: November 27, 2024

The State Bar of California has submitted its Annual Discipline Report (ADR) to the Chief Justice, the Governor, the Speaker of the Assembly, the President pro Tempore of the Senate, and the Assembly and Senate Judiciary Committees in accordance with Business and Professions Code sections 6086.15, 6094.5(d), 6095(b), and 6177, Civil Code section 55.32(f)(1), and Insurance Code section 1872.95(a). The ADR describes the performance and condition of the attorney discipline system for the fiscal year ending June 30, 2024. The following summary is provided pursuant to Government Code section 9795.

This report contains fiscal year data covering the period from July 1, 2023, to June 30, 2024. In addition to statutorily required reporting on various components of the discipline system, the report also incorporates information about the racial/ethnic and gender identity of disciplined attorneys in alignment with the State Bar's commitment to advancing diversity, equity, and inclusion, as outlined in its [2022–2027 Strategic Plan](#).

In fiscal year 2024, the Office of Chief Trial Counsel (OCTC) opened more than 18,156 cases, a 5 percent increase over FY 2023. The number of cases closed was 18,984, marking a 17 percent increase over FY 2023 and making FY 2024 the first year in the six-year period highlighted in this report in which OCTC closed or otherwise resolved more cases than it opened, reducing its inventory of pending cases. OCTC met case processing goals for 84 percent of the closed cases, including 89 percent of noncomplex cases and 72 percent of complex cases. The total number of cases closed that did not meet the case processing goals (defined as “backlog”) was 2,688, an increase compared to last year (1,983 cases). In addition, the average case processing time increased for 1) cases closed with either no action, a referral, or nondisciplinary action, 2) cases pending at year-end, and 3) cases that OCTC filed in the State Bar Court. The State Bar obtained discipline for 229 attorneys, including 68 disbarments, 85 probation terms with actual suspensions, 33 probation terms with stayed suspensions, and 43 reprovings (both public and private).

The full report is available for download on the State Bar website at:
<https://www.calbar.ca.gov/About-Us/Our-Mission/Protecting-the-Public/Reports>.

A printed copy of the report may be obtained by calling 415-538-2000.



The State Bar *of California*

Annual Discipline Report

Fiscal Year Ending June 30, 2024

November 27, 2024

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INTRODUCTION

The Annual Discipline Report (ADR) provides an overview of the State Bar’s performance in fulfilling its statutory obligation to protect the public from attorney misconduct. Although the discipline system comprises multiple interdependent components of the State Bar, the ADR’s statutorily mandated reporting focuses on the Office of Chief Trial Counsel (OCTC), which receives, investigates, and, where appropriate, prosecutes allegations of attorney misconduct.

2024 PERFORMANCE HIGHLIGHTS

In fiscal year 2024 (from July 1, 2023, to June 30, 2024), OCTC:

- Opened more than 18,000 cases;
- Met case processing goals for 84 percent of cases closed or otherwise resolved, including 89 percent of noncomplex cases and 72 percent of complex cases;
 - The total number of closed or otherwise resolved cases not meeting goals (defined as backlog) is 2,688 (16 percent), an increase from the FY 2023 total of 1,983 (14 percent);
 - The number of complex cases in backlog is 1,310 (28 percent), an increase from FY 2023 (26 percent); and
 - The number of noncomplex cases in backlog is 1,378 (11 percent), also an increase from FY 2023 (9 percent).
- Saw an increase in average case processing time for cases closed with no action, a referral, or nondisciplinary action, and cases filed in State Bar Court (SBC); and
- Obtained discipline for 229 attorneys, a decrease from 243 disciplined attorneys in FY 2023, including 68 disbarments, 85 probation terms with actual suspensions, 33 probation terms with stayed suspensions, and 43 reprovings (both public and private).
- Launched a pilot diversion program, resulting in 388 conditional closures: 220 through diversion agreements and 168 via warning letters.

PERFORMANCE

The following section summarizes OCTC’s workload and case processing performance, measured by the percentage of cases meeting case processing goals and by average case processing time. The section also highlights two significant changes in OCTC practice—implementation of a diversion program and modifications to its practices relating to bank reportable actions based on overdrafts of attorney trust accounts.

Inventory

Table 1 replicates data from table SR-1A and depicts case inventory, disaggregated by the following categories: cases pending at the beginning of the year, cases opened during the year,

cases closed or otherwise resolved during the year, and cases pending at the end of the year.¹ The first two rows—cases pending at the beginning of the year and opened during the year—constitute OCTC’s workload during each period. Cases closed during the year may be viewed as output resulting from this workload.

Table 1. OCTC Inventory²

Case Time Stage	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Pending at Year Start	6,437	8,795	9,668	9,878	10,054	10,557
Opened During	18,252	20,979	17,190	16,355	17,360	18,156
Closed During	15,893	20,106	16,980	16,179	16,874	18,984
Pending at Year End	8,795	9,668	9,878	10,054	10,557	9,730

Note: To ensure reporting consistency, the year-end case number of the previous year is used as the year-start number of the following year. The number of cases reported for FY 2024 at the start of the year is actually 10,558, one case higher than the number reported for FY 2023 at the end of the last fiscal year (10,557). It’s worth noting that minor data changes can occur after data have been reported at the end of the year, such as corrections to case open dates or retroactive data entries on closed cases. However, these corrections comprise a very small percentage of the reported cases.

In FY 2024, the number of cases opened was 18,156, 5 percent more than FY 2023. Additionally, the number of cases closed was 18,984, marking a 17 percent increase over FY 2023 and making FY 2024 the first year in this six-year period in which OCTC closed or otherwise resolved more cases than it opened and so reduced its pending year-end inventory.

¹ Pursuant to Business and Professions Code section 6086.15(a)(1), table SR-1A (as replicated in table 1) reports on inventory of all case types (“The inventory of cases shall not be limited to case types that could result in the filing of a notice of disciplinary charges in the State Bar Court, but shall also include Nonattorney Unauthorized Practice of Law (NA-UPL), section 6007 matters, moral character matters, resignations with charges pending, and mini-reinstatements.”). All statutorily required tables are denoted “SR” for statutorily required.

² This table and all statutorily required tables include data for the most recent fiscal year and for the preceding five years, See Bus. & Prof. Code section 6086.15(d)(1).

Case processing goals

Figure 1 shows the percent of cases closed or otherwise resolved within current case processing time standards: 180 days for noncomplex cases and 365 days for complex cases. Cases are designated as complex based on the following factors and characteristics:

- Major case designation;³
- Subpoenas for records to banks, courts, federal immigration officials, or another third-party entity;
- Numerous potential charges (often arising from multiple incidents) or numerous potential parties; and/or
- Respondent fails to provide information as requested within a reasonable time or at all.

Over the six years covered in the ADR, the percentage of all cases closed or otherwise resolved (complex and noncomplex combined) within case processing goals has stayed relatively steady, varying from a low of 81 percent to a high of 86 percent, with FY 2024 within this range at 84 percent. Looking at it another way, for FY 2024, 16 percent of cases closed or otherwise resolved were in backlog status, a slight increase from FY 2022 and FY 2023. The percentage of noncomplex cases reaching disposition within 180 days dipped from 91 percent in FY 2023 to 89 percent in FY 2024; for complex cases, the percentage meeting the 365-day disposition goal also decreased by 2 percentage points, from 74 percent to 72 percent.

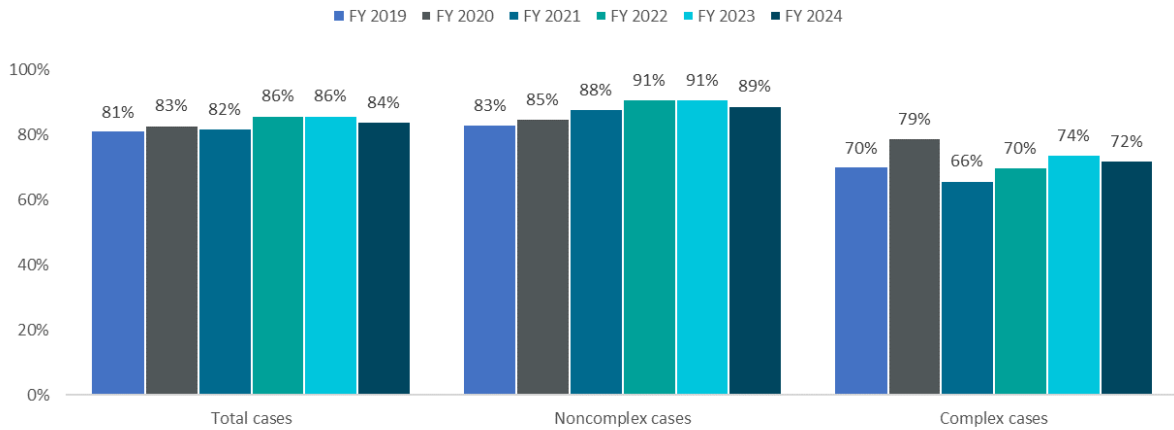
It Takes Time and Resources to Prosecute Significant Cases

John Charles Eastman

In January 2023, the State Bar filed 11 disciplinary charges against John Charles Eastman, alleging that he engaged in misconduct to plan, promote, and assist then-President Trump in executing a strategy, unsupported by facts or law, to overturn the legitimate results of the 2020 presidential election. The proceedings, which included more than 35 trial days extending into November 2023, involved 23 witnesses and more than 400 exhibits. More than 12,000 public viewers observed the first hearing, accessible by Zoom, and the proceedings received national coverage. In March 2024, the Hearing Department issued its decision, holding Eastman culpable on 10 of the 11 disciplinary charges and recommending his disbarment. Both Eastman and OCTC sought review. On September 13, 2024, the parties filed opening briefs; briefing will be completed by the end of 2024 with a decision from the Review Department expected in early 2025. Over the course of the investigation and proceedings, more than five OCTC staff, including attorneys, investigators, and paralegals, dedicated significant time to this matter.

³ See Appendix A for criteria used to designate major cases.

Figure 1. Cases Meeting Case Processing Goals, Complex and Noncomplex Cases



In FY 2024, OCTC continued to address identified inefficiencies in its practices and procedures, streamlining some approval requirements and investigative actions. Effective July 1, 2023, OCTC implemented a substantial reorganization that, among other things: (1) made permanent a specialized team designated to handle the majority of cases involving bank reportable actions and client trust account-related complaints; and (2) created differentiated investigation and trial teams to handle the majority of cases horizontally to decrease delays in both the investigation and charging stages. In addition, effective January 1, 2024, OCTC implemented the use of expedited investigative procedures for the majority of its cases, eliminating some unnecessary formalities and stressing coordination between investigators and legal advisors. Also, effective October 2023, OCTC implemented a pilot diversion program intended to enable speedier resolutions of less serious matters to allow the dedication of investigative resources to those matters posing the greatest risk of harm to clients, the public, and the administration of justice. An expansion of the diversion program is anticipated effective January 2025.

In the long term, these changes aim to enable more cases to be resolved within statutory case processing time frames. In the short term, however, OCTC has increased its focus on addressing its growing inventory of open investigations already in backlog status. This will be a continued emphasis in coming years and may contribute to short-term increases in the number of cases closed or otherwise resolved outside the current case processing goals.

Average case processing time (days)

Figure 2 shows the average case processing times for three categories of cases (based on table SR-5): cases open in OCTC at year end; cases closed during the year by OCTC with no action, a referral, or nondisciplinary action; and cases filed during the year in SBC.⁴ The average age of cases open in OCTC at year end was 329 days in FY 2024, up from 272 days in FY 2023 but still slightly down from a high of 332 days in FY 2021. The average case processing time for OCTC to close cases with no action, a referral, or nondisciplinary action increased from 112 days in FY 2023 to 131 days in FY 2024. The average case processing time for OCTC to file cases in SBC has steadily increased over the six years analyzed, from 477 days in FY 2019 to 730 days in FY 2024.

As noted above, OCTC implemented a substantial reorganization and has taken additional steps to attempt to decrease delays in the investigation and charging stages. In the long term, these changes should reduce the average case processing time for cases filed in SBC. In the short term, however, OCTC's continued efforts to address a growing inventory of older open cases, which aims to decrease the average time of cases open in OCTC at year end in the long term, may also result in continuing increases in the average case processing time for cases closed by OCTC or filed in SBC.

It Takes Time and Resources to Prosecute Significant Cases

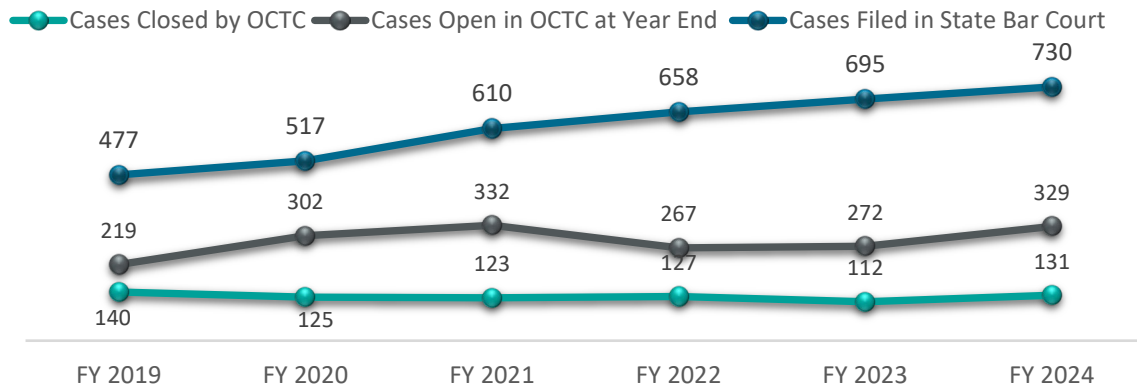
Los Angeles Department of Water and Power Scandal

The State Bar is taking action in the wake of one of the largest public corruption scandals in Los Angeles history—the sprawling Los Angeles Department of Water and Power (DWP) billing scandal. City officials took part in a plan to set up a sham lawsuit in order to quickly settle claims arising from the disastrous launch in 2013 of a new billing system. In February 2024, California attorney Thomas H. Peters, the former litigation chief of the Los Angeles City Attorney's Office, was summarily disbarred following his 2022 extortion conviction. In March 2024, the State Bar filed disciplinary charges against attorney Michael Libman for his part in the scheme; trial was conducted over six days in June 2024; the court's decision is pending. In June 2024, the State Bar filed additional charges against Libman, alleging that he sought to illegally hack the emails and phones of a lawyer and judge investigating the sham lawsuit; trial was conducted over three days in October 2024; that decision is also pending. In June 2024, Richard Tom, a Deputy City Attorney who served as counsel for the LA DWP, stipulated to a 30-day suspension for making false statements regarding his knowledge of circumstances relating to the sham lawsuit in a declaration and deposition. In July 2024, the State Bar filed disciplinary charges against attorney William Funderburk, a former member of the LA DWP's Board of Commissioners, alleging that he solicited and received free legal services from one of the attorneys who orchestrated the sham lawsuit in return for supporting the award of a DWP contract to that attorney; trial is currently scheduled for January 2025. In September 2024, the State Bar filed disciplinary charges against James Clark, the former Chief Deputy City Attorney, alleging that he helped orchestrate and conceal the true facts surrounding the sham lawsuit; a trial date has not yet been set. Over the course of the investigation and proceedings, more than eight OCTC staff, including attorneys, investigators, and paralegals, have dedicated significant time to this matter.

⁴ Cases closed by OCTC with no action are cases that were closed with no referral or nondisciplinary action.

Referrals are cases closed with the following statuses: alternative dispute resolution, fee arbitration matter, family support referral, and fee arbitration award referral. Cases closed with nondisciplinary action include cases closed with the following status: cease and desist letter, communication letter, directional letter, return of file letter, resource letter, warning letter.

Figure 2. Average Case Processing Time (in Days)



Note: Figure 2 is limited to complaints, State Bar-initiated inquiries, probation referrals, and reportable actions (self and other).

Cases related to overdraft of attorney trust accounts

In accordance with Business and Professions Code section 6091.1, financial institutions must report to the State Bar if a properly payable instrument is presented against an attorney trust account with insufficient funds, regardless of whether the instrument is honored. This is referred to as a bank reportable action.

A few significant changes were observed in FY 2024 in cases related to overdraft of attorney trust accounts. They include:

- A considerable decrease in the number of such cases received, from 1,402 in FY 2023 to 1,017 in FY 2024. This may be the result of educational and other preventive efforts undertaken by both OCTC and the Client Trust Account Protection Program, which launched in FY 2023.
- A substantial decrease in fiscal years 2023 and 2024 as compared to previous years in cases closed by OCTC with no action. Meanwhile, there has been a significant increase in FY 2024 in cases closed by OCTC with nondisciplinary action. This may be the result of two changes in OCTC practice: (1) guidelines that require more cases to move forward to investigation to ensure that client trust accounting deficiencies are identified and addressed; and (2) a requirement that for all cases that move forward to investigation, any closure be by resource letter to provide educational and preventive resources intended to assist in improving client trust accounting practices.
- A reduction in cases filed with the SBC, which may be the result of the decrease in cases received and the increase in closed cases with nondisciplinary actions.

Table 2. Cases Related to Overdraft of Attorney Trust Accounts in FY 2024

Overdraft of attorney trust accounts (6091.1)	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Received	1,898	1,562	959	1,266	1,402	1,017
Cases Closed by OCTC with No Action	1,617	1,506	913	988	741	739
Cases Closed by OCTC with Nondisciplinary Action	138	108	93	78	270	433
Total Cases Closed by OCTC	1,755	1,614	1,006	1,066	1,011	1,172
Cases Filed in SBC	132	58	51	21	63	50
Cases Closed with Discipline Imposed	55	70	53	71	35	45

Diversions program

In October 2023, OCTC launched a pilot of a formal diversion program for respondents (a) whose disciplinary and complaint histories demonstrate that they do not pose a significant risk of future harm to their clients or the public; and (b) whose current alleged misconduct is relatively minor and stems from issues potentially subject to correction through education or other rehabilitative measures. The diversion program furthers public protection by providing specific deterrence of similar misconduct by the same attorney through education, direction, warning, or the imposition of conditions on the attorney’s action for a specified period of time. In these same ways, it assists in maintaining professional standards of the profession and rehabilitating the particular attorney receiving diversion instead of having them proceed through the entirety of the disciplinary process. It offers a faster case resolution path and requires less of OCTC’s staff time. (Find more details in Appendix B.)

Once diversion is initiated—either through an agreement or a warning letter—the complaint is closed conditionally pending completion of the specified conditions. If those conditions are successfully completed, the complaint is finally closed. In FY 2024, there were 388 conditional closures: 220 through diversion agreements and 168 via warning letters. Failure to complete the conditions results in the complaint being reopened for further investigation and possible charges. As of the end of FY 2024, only one attorney participating in the diversion program had failed to meet its conditions.

The monitoring period after conditional closure typically lasts 270 days. Monitoring ensures that the respondent complies with the diversion conditions and reporting requirements within the specified time frame. Since the program is new, only four cases have reached final closure so far. As the program matures, the annual number of final closures is expected to increase in the coming years.

Demographics of disciplined attorneys

Beginning with the FY 2023 ADR, in alignment with the foundational principle outlined in the State Bar’s [2022–2027 Strategic Plan](#), attorney discipline data is reported disaggregated by race/ethnicity and gender identity.⁵ The total number of disciplined attorneys decreased from 243 in FY 2023 to 229 in FY 2024. Tables 3 and 4 report the race/ethnicity and gender identity of the 229 attorneys disciplined in FY 2024.

Table 3. Race/Ethnicity of Attorneys Disciplined in FY 2024

Type of Discipline	Asian	Black/ African American	Hispanic/ Latino	White	Other	Unknown	Total
Number of Attorneys Disciplined							
Reproval	4	2	4	31	1	1	43
Probation	11	6	9	72	14	6	118
Disbarment	7	3	5	39	7	7	68
Total	22	11	18	142	22	14	229
Racial/Ethnic Distribution of Disciplined Attorneys							
Reproval	9%	5%	9%	72%	2%	2%	100%
Probation	9%	5%	8%	61%	12%	5%	100%
Disbarment	10%	4%	7%	57%	10%	10%	100%
Total	10%	5%	8%	62%	10%	6%	100%

⁵ Please see Appendix A for glossary on demographic information.

Table 4. Gender of Attorneys Disciplined in FY 2024

Type of Discipline	Women	Men	Other	Unknown	Total
Number of Attorneys					
Reproval	10	33	0	0	43
Probation	22	90	0	6	118
Disbarment	13	49	0	6	68
Total	45	172	0	12	229
Gender Distribution of Disciplined Attorneys					
Reproval	23%	77%	0%	0%	100%
Probation	19%	76%	0%	5%	100%
Disbarment	19%	72%	0%	9%	100%
Total	20%	75%	0%	5%	100%

To provide context for these results, the demographic patterns for disciplined attorneys are compared with two reference groups (see figures 3 and 4):

1. Active attorneys at the beginning of the reporting period; and
2. Attorneys with at least one complaint during the reporting period.

The data provided in figures 3 and 4 can be summarized as follows:

- Asian attorneys comprised 14 percent of the active attorney population, 8 percent of attorneys with at least one complaint during the reporting period, and 10 percent of disciplined attorneys.
- Black/African American attorneys comprised 3 percent of the active attorney population, 4 percent of attorneys with at least one complaint during the reporting period, and 5 percent of disciplined attorneys.
- Hispanic/Latino attorneys comprised 6 percent of the active attorney population, 8 percent of attorneys with at least one complaint during the reporting period, and 8 percent of disciplined attorneys.
- White attorneys comprised 64 percent of the active attorney population, 64 percent of attorneys with at least one complaint during the reporting period, and 62 percent of disciplined attorneys.
- Women comprised 42 percent of the active attorney population, 30 percent of attorneys with at least one complaint during the reporting period, and 20 percent of disciplined attorneys.

- Men comprised 55 percent of the active attorney population, 66 percent of attorneys with at least one complaint during the reporting period, and 75 percent of disciplined attorneys.

The data presented in figures 3 and 4 are subject to data limitations, including small cell size for some groups and higher-than-average missing demographic data for disbarred attorneys. Groups represented as “Unknown” consist of attorneys where demographic data was either not recorded or where the attorney declined to self-identify during the reporting period.

Figure 3. Race/Ethnicity Across Different Groups of Attorneys in FY 2024

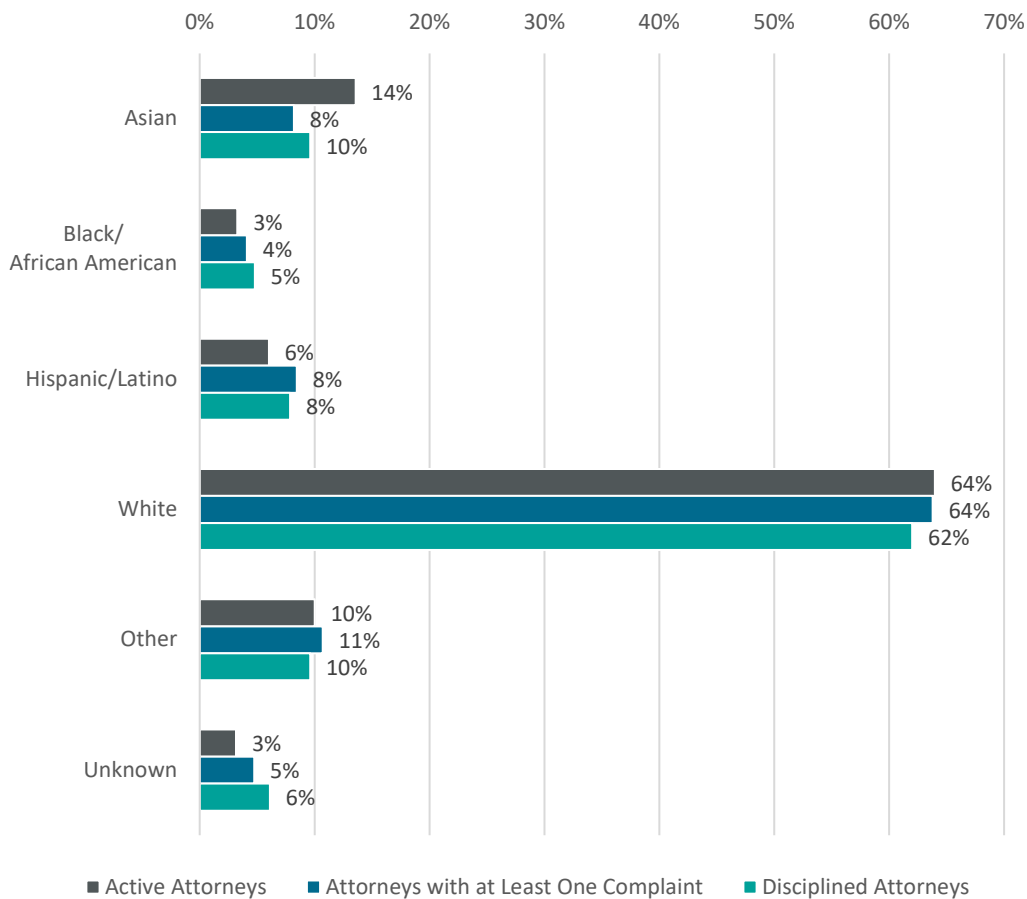
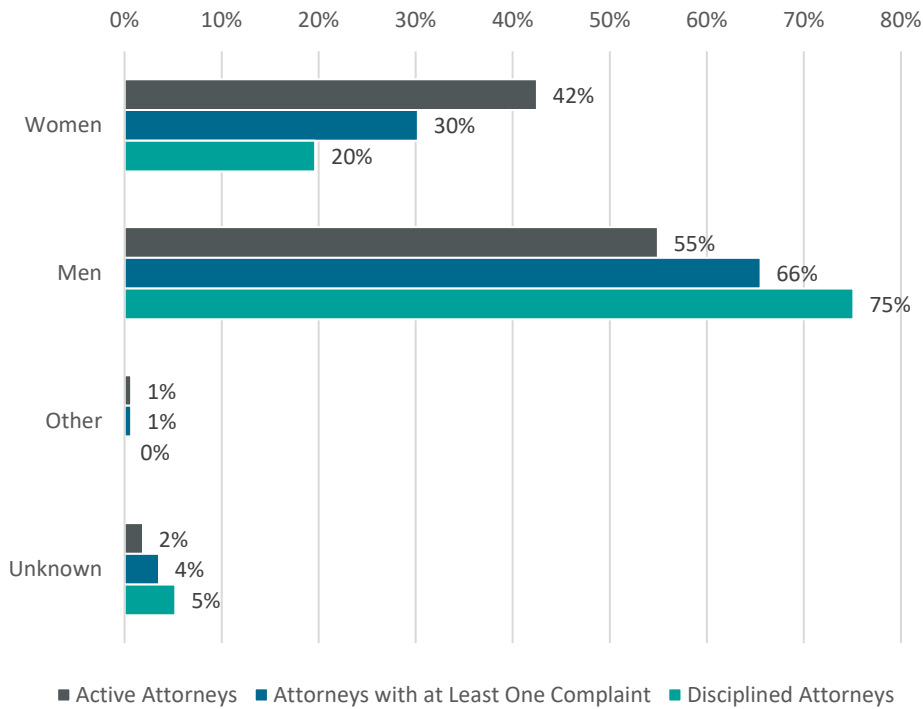


Figure 4. Gender Across Different Groups of Attorneys in FY 2024



The race/ethnicity and gender distributions of disciplined attorneys between FY 2024 and FY 2023 remained relatively stable.

In late 2024, the State Bar will update its [landmark 2019 study](#) of racial disparities in the attorney discipline system. The 2024 study will be expanded to explore factors that may contribute to the abovementioned patterns, including age, practice area and setting, and firm size.

SUMMARY

The 2024 ADR data are presented in the 15 SR tables that follow this Introduction:

- SR-1A and SR-1B: Inventory and Case Processing
- SR-2: Case Inventory and Disposition
- SR-3: Self-Reported Reportable Actions
- SR-4: Reportable Actions, Reported by Others
- SR-5: Speed of Complaint Handling
- SR-6A and SR-6B: Formal Disciplinary Filings and Outcomes
- SR-7A and SR-7B: Other Matters and Specified Definitions
- SR-8: Unauthorized Practice of Law by Former Attorneys
- SR-9: Unauthorized Practice of Law by Nonattorneys

SR-10A and SR-10B: Conviction Transmittals and Disposition of Felony Convictions

SR-11: Accessibility Demand Letters

SR-12: Insurance Fraud

SR-13: Provision of Financial Services by Lawyers

SR-14: Condition of the Client Security Fund

SR-15: Cost of the Discipline System

Table 5 below summarizes the numbers of specific types of cases and where those case types are reported in all the ADR SR tables.

Table 5. Case Types Reported in 2024 ADR SR Tables

Case Type	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	Covered by ADR Tables
Complaints	13,894	13,781	12,501	12,731	13,636	14,861	SR-1, SR-2, SR-5
State Bar-Initiated Inquiries	302	240	344	310	266	240	SR-1, SR-2, SR-5
Probation Referrals	123	94	58	79	49	45	SR-1, SR-2, SR-5
Reportable Action, Self	157	169	161	183	152	135	SR-1, SR-2, SR-3, SR-4, SR-5
Reportable Actions, Other	2,285	2,016	1,267	1,686	1,760	1,388	SR-1, SR-2, SR-3, SR-4, SR-5
Criminal Conviction Matters	296	3,442	1,765	292	281	290	SR-1, SR-3, SR-4, SR-10
Unauthorized Practice of Law	907	806	711	688	873	947	SR-1, SR-8, SR-9
Other ⁶	288	431	383	386	343	250	SR-1, SR-7
Total Cases	18,252	20,979	17,190	16,355	17,360	18,156	

⁶ Cases that fall under “Other” are the following: moral character, prefiling motion to quash, probation revocation motion, resignation with charges pending, reinstatements, interim suspensions, inactive attorney assumption matters (excluding former attorney), and rule 2605 Vexatious Complainant.

STATUTORILY MANDATED REPORTING

FISCAL YEAR 2024

Inventory and Case Processing

Section 6086.15, subdivision (a)(1): The inventory of cases within the Office of Chief Trial Counsel which were open at the start of the reporting period, were opened during the reporting period, remain pending with the office at the close of the reporting period, or were disposed of during the reporting period by closure, by filing of a stipulation with the State Bar Court, by filing of a notice of disciplinary charges with the State Bar Court, or by transmittal of a criminal conviction to the State Bar Court. The State Bar shall also report on its success in meeting the case processing goals set forth in section 6094.5, including, but not limited to, table showing the number and percentage of cases meeting each goal, the number and percentage of those cases not meeting each goal, the number and percentage of those cases not disposed of within the case processing goals, and a high-level explanation of the reasons for failing to meet those case processing goals. The inventory of cases shall not be limited to case types that could result in the filing of a notice of disciplinary charges in the State Bar Court but shall also include Non-Attorney Unauthorized Practice of Law (NA-UPL), Section 6007 matters, moral character matters, resignations with charges pending, and mini-reinstatements.

Table SR-1A. Inventory ⁷	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Pending at Year Start ⁸	6,437	8,795	9,668	9,878	10,071	10,557
Opened During	18,252	20,979	17,190	16,355	17,360	18,156
Closed During	15,893	20,106	16,980	16,179	16,874	18,984
Pending at Year End	8,795	9,668	9,878	10,054	10,557	9,730

⁷ As defined by statute, inventory in this report will include all case types to reflect the complete turnover of cases in the year. For other tables, statute dictates the reporting on specific case types only.

⁸ To ensure reporting consistency, the year-end case number of the previous year is used as the year-start number of the following year. The number of cases reported for FY 2024 at the start of the year is actually 10,558, one case higher than the number reported for FY 2023 at the end of the last fiscal year (10,557). It's worth noting that minor data changes can occur after data have been reported at the end of the year, such as corrections to case open dates or retroactive data entries on closed cases. However, these corrections comprise a very small percentage of the reported cases.

Table SR-1B. Case Processing ⁹	FY 2019		FY 2020		FY 2021		FY 2022		FY 2023		FY 2024	
	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>
Noncomplex cases												
Cases meeting goals	10,174	83	12,081	85	9,972	89	9,728	92	9,801	91	10,827	89
Cases not meeting goals	2,024	17	2,082	15	1,292	11	865	8	1,019	9	1,378	11
Total	12,198	100	14,163	100	11,264	100	10,593	100	10,820	100	12,205	100
Complex cases	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>
Cases meeting goals	1,776	71	3,167	80	2,789	67	2,716	71	2,677	74	3,288	72
Cases not meeting goals	732	29	792	20	1,344	33	1,100	29	964	26	1,310	28
Total	2,508	100	3,959	100	4,133	100	3,816	100	3,641	100	4,598	100
All cases	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>	<u>n</u>	<u>%</u>
Cases meeting goals	11,950	81	15,248	84	12,761	83	12,444	86	12,478	86	14,115	84
Cases not meeting goals	2,756	19	2,874	16	2,636	17	1,965	14	1,983	14	2,688	16
Total	14,706	100	18,122	100	15,397	100	14,409	100	14,461	100	16,800	100

⁹ Business and Professions Code section 6094.5 (a) identifies the following case processing goals: six months for all cases and 12 months for “complicated” cases. This report uses 180 and 365 days as opposed to six and 12 months to calculate case processing time which allows for more accurate calculations based on the data structure of the State Bar’s case management system. The term “complex” will be used in lieu of “complicated.” Cases are deemed complex if they contain any of the following characteristics: major case designation; requests for bank, court, or other records; multiple charges or parties; or respondent delays in responding. In accordance with Business and Professions Code section 6094.5 (c), the following case types are excluded from table SR-1B: criminal conviction, unauthorized practice of law, interim suspensions, moral character, and resignations with charges pending and reinstatements. For full details see Appendix E.

Case Inventory and Disposition¹⁰

Section 6086.15, subdivision (a) (2) The number of inquiries and complaints and their disposition.

Table SR-2. Inquiries and Complaints	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Summary: All Case Types						
Cases Pending in OCTC at Year Start ¹¹	5,663	7,717	5,895	4,829	5,407	6,803
Cases Received	16,541	15,700	13,781	14,497	15,414	16,265
Cases Reopened ¹²	220	600	550	492	449	404
Closed by OCTC with No Action	12,553	15,964	13,131	12,416	12,480	14,919
Closed by OCTC with Referral	199	298	237	245	207	239
Closed by OCTC with Nondisciplinary Action	1,334	1,417	1,516	1,318	1,363	1,550
Total Cases Closed by OCTC	14,086	17,679	14,884	13,979	14,050	16,708
Filed in SBC	620	443	513	430	411	311
Cases Pending in OCTC at Year End	7,717	5,895	4,829	5,409	6,809	6,453
Cases Pending in SBC at Year Start	807	794	693	721	626	678
Closed by SBC with No Action ¹³	171	125	86	45	35	36
Closed by SBC with Nondisciplinary Action	0	0	6	9	2	1
Closed with Discipline Imposed	463	419	393	460	320	350
Total Cases Closed by SBC	634	544	485	514	357	387
Cases Pending in SBC at Year End	794	693	721	637	680	602
Complaints						
Complaints Pending in OCTC at Year Start	4,837	6,851	5,123	4,258	4,492	5,469
Complaints Received	13,683	13,195	11,957	12,306	13,194	14,460
Complaints Reopened	211	586	544	425	442	401
Closed by OCTC with No Action	10,205	13,717	11,519	10,790	11,148	13,464
Closed by OCTC with Referral	197	297	237	245	207	239
Closed by OCTC with Nondisciplinary Action	1,118	1,251	1,260	1,154	1,025	1,043
Total Complaints Closed by OCTC	11,520	15,265	13,016	12,189	12,380	14,746
Filed in SBC	360	244	350	304	271	203

¹⁰ Table SR-2 reports on the same case types as table SR-1B; see footnote for table SR-1B for details.

¹¹ Year start numbers may not always match the previous year end as previous data is frozen and minor changes to case reporting may occur after that time.

¹² Counts of reopened cases are higher for 2019 onwards due to operational changes implemented with the new case management system; intake staff have greater autonomy in Odyssey to manage data entry for their caseloads, contributing to more timely and accurate recording of reopened cases.

¹³ Reasons for cases closed by SBC with no action include the following: (1) respondent was disbarred in another matter; (2) respondent was ordered inactive pursuant to Business and Professions Code section 6007(b); (3) respondent's death, shortly before or after dismissal; (4) respondent's resignation; (5) dismissal by OCTC; and (6) dismissal by SBC.

Table SR-2. Inquiries and Complaints	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Complaints Pending in OCTC at Year End	6,851	5,123	4,258	4,496	5,477	5,381
Complaints Pending in SBC at Year Start	520	476	389	475	443	483
Closed by SBC with No Action	108	80	40	24	23	21
Closed by SBC with Nondisciplinary Action	0	0	5	6	1	1
Closed with Discipline Imposed	296	251	219	296	206	237
Total Complaints Closed by SBC	404	331	264	326	230	259
Complaints Pending in SBC at Year End	476	389	475	453	484	427
State Bar-Initiated Inquiries						
Inquiries Pending in OCTC at Year Start	224	203	174	145	202	235
Inquiries Initiated	299	230	340	305	262	238
Inquiries Reopened	3	10	4	5	4	2
Closed by OCTC with No Action	246	219	262	205	190	201
Closed by OCTC with Referral	2	1	0	0	0	0
Closed by OCTC with Nondisciplinary Action	41	20	87	27	25	26
Total Inquiries Closed by OCTC	289	240	349	232	215	227
Filed in SBC	33	29	24	22	20	16
Inquiries Pending in OCTC at Year End	203	174	145	201	233	232
Inquiries Pending in SBC at Year Start	48	49	44	41	33	27
Closed by SBC with No Action	9	8	0	4	2	3
Closed by SBC with Nondisciplinary Action	0	0	0	1	0	0
Closed with Discipline Imposed	24	26	27	24	24	15
Total Inquiries Closed by SBC	33	34	27	29	26	18
Inquiries Pending in SBC at Year End	49	44	41	34	27	25
Probation Referrals						
Referrals Pending in OCTC at Year Start	56	77	60	32	39	24
Referrals Received	122	91	58	79	49	45
Referrals Reopened	1	3	0	0	0	0
Closed by OCTC with No Action	30	36	21	14	18	13
Closed by OCTC with Referral	0	0	0	0	0	0
Closed by OCTC with Nondisciplinary Action	2	0	2	3	4	1
Total Referrals Closed by OCTC	32	36	23	17	22	14
Filed in SBC	70	75	63	55	42	24
Referrals Pending in OCTC at Year End	77	60	32	39	24	31
Referrals Pending in SBC at Year Start	108	83	93	77	82	80
Closed by SBC with No Action	33	11	12	14	10	5
Closed by SBC with Nondisciplinary Action	0	0	0	1	1	0
Closed with Discipline Imposed	62	54	67	36	32	36
Total Referrals Closed by SBC	95	65	79	51	43	41
Referrals Pending in SBC at Year End	83	93	77	81	81	63
Reportable Actions, Self-Reported						
Actions Pending in OCTC at Year Start	75	74	91	91	94	125
Actions Reported	155	169	161	183	150	135
Actions Reopened	2	0	0	0	2	0

Table SR-2. Inquiries and Complaints	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Closed by OCTC with No Action	130	124	115	140	102	134
Closed by OCTC with Referral	0	0	0	0	0	0
Closed by OCTC with Nondisciplinary Action	16	13	33	30	14	17
Total Actions Closed by OCTC	146	137	148	170	116	151
Filed in SBC	12	15	13	11	5	2
Actions Pending in OCTC at Year End	74	91	91	93	125	107
Actions Pending in SBC at Year Start	20	18	22	15	9	5
Closed by SBC with No Action	1	3	1	0	0	0
Closed by SBC with Nondisciplinary Action	0	0	1	1	0	0
Closed with Discipline Imposed	13	8	18	16	9	4
Total Actions Closed by SBC	14	11	20	17	9	4
Actions Pending in SBC at Year End	18	22	15	9	5	3
Reportable Actions, Reported by Others (RAOs)						
Actions Pending in OCTC at Year Start	471	512	447	303	580	950
Actions Reported	2,282	2,015	1,265	1,624	1,759	1,387
Actions Reopened	3	1	2	62	1	1
Closed by OCTC with No Action	1,942	1,868	1,214	1,267	1,022	1,107
Closed by OCTC with Referral	0	0	0	0	0	0
Closed by OCTC with Nondisciplinary Action	157	133	134	104	295	463
Total Actions Closed by OCTC	2,099	2,001	1,348	1,371	1,317	1,570
Filed in SBC	145	80	63	38	73	66
Actions Pending in OCTC at Year End	512	447	303	580	950	702
Actions Pending in SBC at Year Start	111	168	145	113	59	83
Closed by SBC with No Action	20	23	33	3	0	7
Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Closed with Discipline Imposed	68	80	62	88	49	58
Total Actions Closed by SBC	88	103	95	91	49	65
Actions Pending in SBC at Year End	168	145	113	60	83	84

Self-Reported Reportable Actions

Section 6086.15, subdivision (a)(3) The number, average pending times, and types of matters self-reported by licensees of the State Bar pursuant to subdivision (o) of section 6068 and subdivision (c) of section 6086.8.^{14,15}

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Summary: All Reportable Actions, Reported by Self						
Reports Received	203	188	177	195	155	146
Cases Reopened	4	0	0	0	2	0
Cases Closed by OCTC with No Action	143	126	120	143	107	139
Cases Closed by OCTC with Referral	0	1	0	0	0	0
Cases Closed by OCTC w Nondisciplinary Action	17	20	33	30	14	14
Total Cases Closed by OCTC	160	147	153	173	121	153
Cases Filed in SBC	51	23	20	34	15	10
Cases Remaining in OCTC at Year End	104	123	127	115	141	130
Cases Closed by SBC with No Action ¹⁷	8	7	5	4	6	2
Cases Closed by SBC with Nondisciplinary Action	0	2	1	0	0	0
Cases Closed with Discipline Imposed	39	31	32	24	25	12
Total Cases Closed by SBC	47	40	38	28	31	14
Cases Remaining in SBC at Year End	75	58	40	46	30	26
<u>Three or more malpractice lawsuits filed within 12 months (§ 6068, subd. (o)(1))</u>						
Reports Received	0	2	5	4	3	0
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	0	2	4	3	3	1
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	0	0	0	0	0	0
Total Cases Closed by OCTC	0	2	4	3	3	1

¹⁴ The full text of sections 6068 and 6086.8 can be found by clicking on the embedded link found in each relevant header in this table.

¹⁵ The figures in table SR-3 differ from those in table SR-2 for this category because table SR-3 includes reports of criminal conviction matters, which are excluded from table SR-2.

¹⁶ This table only includes actions brought to the attention of the State Bar through attorneys' self-reporting. It does not include actions taken by the State Bar based on the violations of the duties of an attorney set out in these sections which came to the attention of the State Bar through other means, e.g., prosecutorial misconduct cases that came to the attention of the State Bar through news reports or appellate court cases.

¹⁷ Reasons for cases closed by SBC with no action include the following: (1) respondent was disbarred in another matter; (2) respondent was ordered inactive pursuant to Business and Professions Code section 6007(b); (3) respondent's death, shortly before or after dismissal; (4) respondent's resignation; (5) dismissal by OCTC; and (6) dismissal by SBC.

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Average Pendency at Closure ¹⁸	0	48	36	33	267	498
Median Pendency at Closure	0	48	35	30	57	498
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	0	0	1	2	2	1
Average Pendency at Year End	0	0	127	285	234	391
Median Pendency at Year End	0	0	127	285	234	391
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0
<u>Judgment in civil case for fraud, misrepresentation, gross negligence, etc. (§ 6068, subd. (o)(2))</u>						
Reports Received	8	9	2	8	4	5
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	5	6	3	6	4	3
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	1	1	0	0	0	0
Total Cases Closed by OCTC	6	7	3	6	4	3
Average Pendency at Closure	41	60	377	125	49	477
Median Pendency at Closure	14	49	393	42	51	567
Cases Filed in SBC	0	1	2	0	1	0
Average Pendency at Filing	0	763	909	0	1,421	0
Median Pendency at Filing	0	763	909	0	1,421	0
Cases Remaining in OCTC at Year End	5	6	3	5	4	6
Average Pendency at Year End	307	433	505	460	640	503
Median Pendency at Year End	164	359	449	206	521	189
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	1	2	0	0
Total Cases Closed by SBC	0	0	1	2	0	0
Average Pendency at Closure	0	0	1,230	1,201	0	0
Median Pendency at Closure	0	0	1,230	1,201	0	0
Cases Remaining in SBC at Year End	0	1	2	0	1	1
Average Pendency at Year End	0	956	1,075	0	1,500	1,866

¹⁸ Pendency is reported in days.

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Median Pendency at Year End	0	956	1,075	0	1,500	1,866
<u>Judicial sanctions imposed (§ 6068, subd. (o)(3))</u>						
Reports Received	109	127	122	143	125	110
Cases Reopened	1	0	0	0	2	0
Cases Closed by OCTC with No Action	92	99	84	111	79	118
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	11	11	28	26	13	14
Total Cases Closed by OCTC	103	110	112	137	92	132
Average Pendency at Closure	120	146	186	184	139	220
Median Pendency at Closure	49	57	81	79	63	92
Cases Filed in SBC	6	3	4	5	0	1
Average Pendency at Filing	622	277	1,025	884	0	939
Median Pendency at Filing	516	219	1,018	640	0	939
Cases Remaining in OCTC at Year End	48	62	68	69	104	81
Average Pendency at Year End	327	338	302	244	324	465
Median Pendency at Year End	158	255	219	104	251	366
Cases Closed by SBC with No Action	1	3	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	1	0	0	0
Cases Closed with Discipline Imposed	4	1	9	5	3	2
Total Cases Closed by SBC	5	4	10	5	3	2
Average Pendency at Closure	1,333	1,304	1,519	1,225	1,055	1,907
Median Pendency at Closure	962	1,304	1,275	1,085	911	1,907
Cases Remaining in SBC at Year End	11	10	4	4	1	0
Average Pendency at Year End	1,146	1,206	1,192	1,295	2,664	0
Median Pendency at Year End	1,150	1,245	829	1,070	2,664	0
<u>Felony indictment (§ 6068, subd. (o)(4))</u>						
Reports Received	16	10	7	6	3	6
Cases Reopened	1	0	0	0	0	0
Cases Closed by OCTC with No Action	6	2	2	3	2	3
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	0	0	0	0	0	0
Total Cases Closed by OCTC	6	2	2	3	2	3
Average Pendency at Closure	653	473	2,170	1,517	1,560	1,426
Median Pendency at Closure	463	473	2,170	1,393	1,560	1,390
Cases Filed in SBC	18	3	2	9	4	3
Average Pendency at Filing	378	250	409	487	1,043	716
Median Pendency at Filing	257	158	409	282	948	344
Cases Remaining in OCTC at Year End	17	22	25	19	16	18
Average Pendency at Year End	682	763	800	1,021	1,187	1,063
Median Pendency at Year End	387	475	672	993	1,233	1,117
Cases Closed by SBC with No Action	3	2	2	1	1	1
Cases Closed by SBC with Nondisciplinary Action	0	1	0	0	0	0
Cases Closed with Discipline Imposed	13	9	6	2	7	4

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Total Cases Closed by SBC	16	12	8	3	8	5
Average Pendency at Closure	516	1,125	992	2,038	1,577	1,634
Median Pendency at Closure	520	666	964	1,486	1,448	1,133
Cases Remaining in SBC at Year End	25	16	10	16	12	10
Average Pendency at Year End	994	1,237	1,728	1,242	1,346	1,406
Median Pendency at Year End	734	1,054	1,850	1,052	1,102	1,264

[Conviction of felony, or misdemeanor related to practice of law \(§ 6068, subd. \(o\)\(5\)\)](#)

Reports Received	33	10	10	9	7	8
Cases Reopened	1	0	0	0	0	0
Cases Closed by OCTC with No Action	7	2	3	3	7	4
Cases Closed by OCTC with Referral	0	1	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	1	7	0	0	0	0
Total Cases Closed by OCTC	8	10	3	3	7	4
Average Pendency at Closure	133	145	628	547	248	312
Median Pendency at Closure	104	115	630	441	85	127
Cases Filed in SBC	21	6	5	14	6	5
Average Pendency at Filing	279	242	201	374	700	155
Median Pendency at Filing	188	196	79	140	283	64
Reports Remaining in OCTC at Year End	16	11	13	5	2	6
Average Pendency at Year End	312	578	628	1,141	830	987
Median Pendency at Year End	153	277	154	482	830	440
Cases Closed by SBC with No Action	4	2	2	3	5	1
Cases Closed by SBC with Nondisciplinary Action	0	1	0	0	0	0
Cases Closed with Discipline Imposed	13	14	8	6	9	4
Total Cases Closed by SBC	17	17	10	9	14	5
Average Pendency at Closure	888	1,013	922	1,304	1,070	1,247
Median Pendency at Closure	598	644	808	1,269	1,003	788
Cases Remaining in SBC at Year End	32	21	16	21	14	13
Average Pendency at Year End	827	986	1,191	909	1,192	1,086
Median Pendency at Year End	482	701	926	675	927	650

[Discipline by professional agency or licensing board \(§ 6068, subd. \(o\)\(6\)\)](#)

Reports Received	28	22	15	17	9	9
Cases Reopened	1	0	0	0	0	0
Cases Closed by OCTC with No Action	21	6	15	11	7	4
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	2	0	4	0	1	0
Total Cases Closed by OCTC	23	6	19	11	8	4
Average Pendency at Closure	150	107	328	182	163	894
Median Pendency at Closure	27	99	181	6	23	860
Cases Filed in SBC	6	10	7	6	4	1
Average Pendency at Filing	313	367	456	341	494	698
Median Pendency at Filing	245	266	392	266	344	698

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Remaining in OCTC at Year End	16	22	11	11	10	13
Average Pendency at Year End	378	405	514	437	792	467
Median Pendency at Year End	264	210	342	202	467	206
Cases Closed by SBC with No Action	0	0	1	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	9	7	8	9	6	2
Total Cases Closed by SBC	9	7	9	9	6	2
Average Pendency at Closure	759	449	756	801	999	974
Median Pendency at Closure	709	418	746	566	742	974
Cases Remaining in SBC at Year End	7	10	8	5	2	2
Average Pendency at Year End	732	877	1,032	1,008	675	1,113
Median Pendency at Year End	779	830	796	778	675	1,113
<u>Reversal of judgment based on misconduct, gross incompetence, etc. (§ 6068, subd. (o)(7))</u>						
Reports Received	9	7	16	6	4	8
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	12	8	9	6	3	6
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	2	1	1	4	0	0
Total Cases Closed by OCTC	14	9	10	10	3	6
Average Pendency at Closure	130	132	31	176	184	285
Median Pendency at Closure	51	22	22	64	41	141
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	2	0	6	2	3	5
Average Pendency at Year End	463	0	129	415	294	235
Median Pendency at Year End	463	0	97	415	108	262
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0
<u>Settlement or judgment for civil fraud, misrepresentation, gross negligence, etc. (§ 6086.8, subd. (c))</u>						
Reports Received	0	1	0	2	0	0
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	0	1	0	0	2	0
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary Action	0	0	0	0	0	0

Table SR-3. Reportable Actions, Reported by Self ¹⁶	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Total Cases Closed by OCTC	0	1	0	0	2	0
Average Pendency at Closure	0	55	0	0	60	0
Median Pendency at Closure	0	55	0	0	60	0
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	0	0	0	2	0	0
Average Pendency at Year End	0	0	0	59	0	0
Median Pendency at Year End	0	0	0	59	0	0
Cases Closed by SBC With No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0

Reportable Actions, Reported by Others

Section 6086.15, subdivision (a)(4) The number, average pending times, and types of matters reported by other sources pursuant to sections 6086.7, 6086.8, 6091.1, subdivision (b) of section 6101, and section 6175.6.^{19 20 21}

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Summary: All Reportable Actions, Reported by Others						
Reports Received	2,362	2,066	1,340	1,662	1,804	1,445
Cases Reopened	6	1	2	62	1	1
Cases Closed by OCTC with No Action	1,998	1,879	1,221	1,292	1,093	1,154
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	155	136	129	103	289	455
Total Cases Closed by OCTC	2,153	2,015	1,350	1,395	1,382	1,609
Cases Filed in SBC	189	100	77	61	97	83
Cases Remaining in OCTC at Year End	627	579	494	762	1,088	842

¹⁹ The figures in table SR-4 differ from those in table SR-2 for this category because table SR-4 includes reports of criminal conviction matters, which are excluded from table SR-2.

²⁰ The full text of sections 6086, 6091, 6101, 6175.6 can be found by clicking on the embedded link found in each relevant header in table SR-4.

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Closed by SBC with No Action ²¹	51	32	34	13	8	11
Cases Closed by SBC with Nondisciplinary Action	0	0	0	2	0	0
Cases Closed with Discipline Imposed	104	113	85	98	70	75
Total Cases Closed by SBC	155	145	119	113	78	86
Cases Remaining in SBC at Year End	258	213	171	119	136	133
<u>Order of Contempt (§ 6086.7, subd. (a) (1))</u>						
Reports Received	4	3	6	4	1	2
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	1	2	2	3	0	0
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	1	0	1	2	0
Total Cases Closed by OCTC	1	3	2	4	2	0
Average Pendency at Closure	4	131	85	399	778	0
Median Pendency at Closure	4	161	85	245	778	0
Cases Filed in SBC	1	2	1	0	1	1
Average Pendency at Filing	387	612	230	0	470	791
Median Pendency at Filing	387	612	230	0	470	791
Cases Remaining in OCTC at Year End	4	2	5	5	3	4
Average Pendency at Year End	285	360	355	298	337	408
Median Pendency at Year End	211	360	155	126	406	343
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	1	3
Total Cases Closed by SBC	0	0	0	0	1	3
Average Pendency at Closure	0	0	0	0	926	1,375
Median Pendency at Closure	0	0	0	0	926	1,648
Cases Remaining in SBC at Year End	1	3	4	4	4	2
Average Pendency at Year End	411	781	935	1,300	1,525	1,673
Median Pendency at Year End	411	777	1,024	1,389	1,754	1,673
<u>Modification or reversal of judgment based on misconduct, etc. (§ 6086.7, subd. (a)(2))</u>						
Reports Received	22	10	15	18	6	5
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	17	14	6	12	3	6
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	3	3	2	3	6	0
Total Cases Closed by OCTC	20	17	8	15	9	6
Average Pendency at Closure	223	240	226	234	371	192
Median Pendency at Closure	29	58	63	37	426	135

²¹ Reasons for cases closed by SBC with no action include the following: (1) respondent was disbarred in another matter; (2) respondent was ordered inactive pursuant to Business and Professions Code section 6007(b); (3) respondent's death, shortly before or after dismissal; (4) respondent's resignation; (5) dismissal by OCTC; and (6) dismissal by SBC.

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Filed in SBC	0	0	0	1	0	0
Average Pendency at Filing	0	0	0	611	0	0
Median Pendency at Filing	0	0	0	611	0	0
Cases Remaining in OCTC at Year End	12	5	12	14	11	10
Average Pendency at Year End	439	839	431	381	504	897
Median Pendency at Year End	334	713	140	192	171	646
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	1	0	0	0	0	1
Total Cases Closed by SBC	1	0	0	0	0	1
Average Pendency at Closure	348	0	0	0	0	1,278
Median Pendency at Closure	348	0	0	0	0	1,278
Cases Remaining in SBC at Year End	0	0	0	1	1	0
Average Pendency at Year End	0	0	0	856	1,221	0
Median Pendency at Year End	0	0	0	856	1,221	0

Judicial sanctions imposed (§ 6086.7, subd.(a)(3))

Reports Received	72	98	44	78	91	68
Cases Reopened	2	0	0	0	1	1
Cases Closed by OCTC with No Action	44	32	32	31	32	63
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	14	17	29	18	11	20
Total Cases Closed by OCTC	58	49	61	49	43	83
Average Pendency at Closure	187	197	402	297	281	279
Median Pendency at Closure	108	174	410	189	194	222
Cases Filed in SBC	10	15	9	13	7	13
Average Pendency at Filing	600	448	709	764	851	962
Median Pendency at Filing	503	341	372	616	1,062	847
Cases Remaining in OCTC at Year End	53	87	61	77	119	92
Average Pendency at Year End	293	361	416	353	394	587
Median Pendency at Year End	201	272	272	238	302	549
Cases Closed by SBC with No Action	0	0	1	1	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	9	7	9	13	11	8
Total Cases Closed by SBC	9	7	10	14	11	8
Average Pendency at Closure	1,017	783	995	1,482	1,449	1,841
Median Pendency at Closure	1,051	652	802	1,056	1,345	2,064
Cases Remaining in SBC at Year End	18	26	25	24	20	25
Average Pendency at Year End	1,287	1,221	1,537	1,503	1,709	1,542
Median Pendency at Year End	1,323	892	1,258	1,339	1,440	1,074

Civil Penalty for providing false information to Indian tribe in adoption case (§ 6086.7, subd. (a)(4))

Reports Received	0	0	0	0	0	1
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Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	0	0	0	0	0	0
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Non Action	0	0	0	0	0	0
Total Cases Closed by OCTC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	0	0	0	0	0	1
Average Pendency at Year End	0	0	0	0	0	240
Median Pendency at Year End	0	0	0	0	0	240
Cases Closed by SBC With No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0
<u>Prosecutorial misconduct (§ 6086.7, subd. (a)(5))</u>						
Reports Received	1	0	0	0	0	0
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC With No Action	0	0	0	0	0	0
Cases Closed by OCTC With Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	0	0	0	0	0
Total Cases Closed by OCTC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Filed in SBC	0	0	0	1	0	0
Average Pendency at Filing	0	0	0	1,202	0	0
Median Pendency at Filing	0	0	0	1,202	0	0
Cases Remaining in OCTC at Year End	1	1	1	0	0	0
Average Pendency at Year End	326	692	1,057	0	0	0
Median Pendency at Year End	326	692	1,057	0	0	0
Cases Closed by SBC With No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	1	0	0
Total Cases Closed by SBC	0	0	0	1	0	0
Average Pendency at Closure	0	0	0	1,354	0	0
Median Pendency at Closure	0	0	0	1,354	0	0

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0
<u>Judgment in civil case for fraud, misrepresentation, gross negligence, etc. (§ 6086.8, subd. (a))</u>						
Reports Received	12	6	2	3	5	6
Cases Reopened	0	1	0	1	0	0
Cases Closed by OCTC with No Action	5	2	2	1	5	5
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	1	4	2	0	0
Total Cases Closed by OCTC	5	3	6	3	5	5
Average Pendency at Closure	22	259	492	229	685	608
Median Pendency at Closure	11	117	560	158	126	305
Cases Filed in SBC	1	2	1	0	1	0
Average Pendency at Filing	240	313	395	0	209	0
Median Pendency at Filing	240	313	395	0	209	0
Cases Remaining in OCTC at Year End	9	11	6	7	6	7
Average Pendency at Year End	229	409	781	931	871	713
Median Pendency at Year End	228	407	741	1,074	994	311
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	1	2	0	2	1	0
Total Cases Closed by SBC	1	2	0	2	1	0
Average Pendency at Closure	914	576	0	845	472	0
Median Pendency at Closure	914	576	0	845	472	0
Cases Remaining in SBC at Year End	1	1	2	0	0	0
Average Pendency at Year End	508	474	639	0	0	0
Median Pendency at Year End	508	474	639	0	0	0

Claim or action for damages for fraud, misrepresentation, etc. (§ 6086.8, subd. (b))

Reports Received	243	287	214	225	221	242
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	230	277	237	214	214	257
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	0	0	1	0	0
Total Cases Closed by OCTC	230	277	237	215	214	257
Average Pendency at Closure	7	46	17	26	33	8
Median Pendency at Closure	4	57	14	22	25	7
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	13	23	0	10	17	2

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Average Pendency at Year End	6	22	0	15	11	256
Median Pendency at Year End	6	19	0	17	3	256
Cases Closed by SBC with No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0

[Overdraft of attorney trust accounts \(§ 6091.1\)](#)

Reports Received	1,898	1,562	959	1,266	1,402	1,017
Cases Reopened	1	0	2	61	0	0
Cases Closed by OCTC with No Action	1,617	1,506	913	988	741	739
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	138	108	93	78	270	433
Total Cases Closed by OCTC	1,755	1,614	1,006	1,066	1,011	1,172
Average Pendency at Closure	73	77	84	67	135	156
Median Pendency at Closure	43	51	31	48	96	110
Cases Filed in SBC	132	58	51	21	63	50
Average Pendency at Filing	361	375	589	823	536	678
Median Pendency at Filing	330	339	646	928	444	411
Cases Remaining in OCTC at Year End	413	303	207	447	775	570
Average Pendency at Year End	187	280	306	240	249	416
Median Pendency at Year End	67	179	152	134	148	274
Cases Closed by SBC with No Action	20	23	31	2	0	7
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	55	70	53	71	35	45
Total Cases Closed by SBC	75	93	84	73	35	52
Average Pendency at Closure	1,051	675	966	1,548	840	998
Median Pendency at Closure	620	736	817	1,208	776	978
Cases Remaining in SBC at Year End	148	113	80	28	55	53
Average Pendency at Year End	784	1,092	1,305	935	887	896
Median Pendency at Year End	606	858	768	923	816	586

[Filing of misdemeanor or felony charges \(§ 6101, subd. \(b\)\)](#)

Reports Received	95	81	90	60	73	98
Cases Reopened	3	0	0	0	0	0
Cases Closed by OCTC with No Action	65	34	24	40	90	79
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	4	1	0	0	2

Table SR-4. Reportable Actions, Reported by	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Total Cases Closed by OCTC	65	38	25	40	90	81
Average Pendency at Closure	345	586	565	792	752	483
Median Pendency at Closure	234	274	517	787	595	247
Cases Filed in SBC	41	20	14	18	24	17
Average Pendency at Filing	380	404	379	436	700	764
Median Pendency at Filing	273	322	315	213	628	290
Cases Remaining in OCTC at Year End	110	133	184	186	145	145
Average Pendency at Year End	595	595	629	781	790	797
Median Pendency at Year End	305	347	472	575	589	440
Cases Closed by SBC with No Action	19	9	2	8	6	4
Cases Closed by SBC with Nondisciplinary Action	0	0	0	1	0	0
Cases Closed with Discipline Imposed	32	30	22	8	19	16
Total Cases Closed by SBC	51	39	24	17	25	20
Average Pendency at Closure	1,084	911	1,179	1,130	1,258	1,203
Median Pendency at Closure	775	846	1,080	864	1,175	1,233
Cases Remaining in SBC at Year End	74	55	45	46	44	41
Average Pendency at Year End	1,050	1,350	1,535	1,608	1,716	1,956
Median Pendency at Year End	833	1,050	1,041	1,303	1,329	1,360
<u>Criminal Conviction (§ 6101, subd. (c))</u>						
Reports Received	15	19	10	8	5	6
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	19	12	5	3	8	5
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	2	0	0	0	0
Total Cases Closed by OCTC	19	14	5	3	8	5
Average Pendency at Closure	176	213	403	836	387	628
Median Pendency at Closure	108	102	458	869	414	536
Cases Filed in SBC	4	3	1	7	1	2
Average Pendency at Filing	198	184	22	176	936	35
Median Pendency at Filing	168	139	22	87	936	35
Cases Remaining in OCTC at Year End	12	14	18	16	12	11
Average Pendency at Year End	487	509	626	909	1,253	1,427
Median Pendency at Year End	533	296	340	651	981	1,364
Cases Closed by SBC with No Action	12	0	0	2	2	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	1	0	0
Cases Closed with Discipline Imposed	6	4	1	3	3	2
Total Cases Closed by SBC	18	4	1	6	5	2
Average Pendency at Closure	2,728	774	1,806	933	673	1,116
Median Pendency at Closure	2,692	783	1,806	1,008	566	1,116
Cases Remaining in SBC at Year End	16	15	15	16	12	12
Average Pendency at Year End	987	1,260	1,508	1,499	2,218	2,407
Median Pendency at Year End	699	986	1,318	1,616	2,130	2,496

Table SR-4. Reportable Actions, Reported by FY 2019 FY 2020 FY 2021 FY 2022 FY 2023 FY 2024

[Elder or dependent adult financial service complaints. \(§ 6175.6\)22](#)

Reports Received	0	0	0	0	0	0
Cases Reopened	0	0	0	0	0	0
Cases Closed by OCTC with No Action	0	0	0	0	0	0
Cases Closed by OCTC with Referral	0	0	0	0	0	0
Cases Closed by OCTC with Nondisciplinary	0	0	0	0	0	0
Total Cases Closed by OCTC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Filed in SBC	0	0	0	0	0	0
Average Pendency at Filing	0	0	0	0	0	0
Median Pendency at Filing	0	0	0	0	0	0
Cases Remaining in OCTC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0
Cases Closed by SBC With No Action	0	0	0	0	0	0
Cases Closed by SBC with Nondisciplinary Action	0	0	0	0	0	0
Cases Closed with Discipline Imposed	0	0	0	0	0	0
Total Cases Closed by SBC	0	0	0	0	0	0
Average Pendency at Closure	0	0	0	0	0	0
Median Pendency at Closure	0	0	0	0	0	0
Cases Remaining in SBC at Year End	0	0	0	0	0	0
Average Pendency at Year End	0	0	0	0	0	0
Median Pendency at Year End	0	0	0	0	0	0

²² See table SR-13 for more background information on this type of reportable action.

Speed of Complaint Handling

Section 6086.15, subdivision (a)(5) The speed of complaint handling and dispositions by type, measured by the median and the average processing times.

Table SR-5. Speed of Complaint Handling	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Summary: All Case Types²³						
Pendency at Closure by OCTC with No Action ²⁴						
Average	137	122	114	123	106	128
Median	58	60	38	36	43	45
Pendency at Closure by OCTC with Referral						
Average	123	96	109	83	59	64
Median	71	48	28	19	23	27
Pendency at Closure by OCTC with Nondisciplinary Action						
Average	163	157	204	170	170	173
Median	106	76	90	63	96	95
Pendency at Closure by OCTC						
Average	140	125	123	127	112	131
Median	59	61	40	37	45	48
Pendency at Filing in SBC						
Average	477	517	610	658	695	730
Median	414	476	577	591	601	612
Pendency at Year End in OCTC						
Average	219	302	332	267	272	329
Median	115	187	159	128	147	208
Pendency at Closure by SBC with No Action						
Average	1,878	1,460	888	1,173	1,006	1,010
Median	1,818	1,172	728	748	793	753
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	793	1,016	840	973
Median	0	0	782	976	840	973
Pendency at Closure by SBC with Disciplinary Action						
Average	819	832	984	1,109	1,016	1,024
Median	698	756	920	935	928	945
Pendency at Closure by SBC						
Average	1,106	976	965	1,113	1,014	1,023
Median	781	796	877	913	914	945
Pendency at Year end in SBC						
Average	944	990	1,043	999	1,091	1,288

²³ The case types used for table SR-5 are the same as tables SR-1B and SR-2. See footnote two.

²⁴ Rows for closure with no action, referral, nondisciplinary action and disciplinary action were added to all sections of this table to align with table SR-2.

Table SR-5. Speed of Complaint Handling	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Median	744	813	834	883	948	1,062
Complaints						
Pendency at Closure by OCTC with No Action						
Average	148	127	118	129	104	126
Median	63	67	40	35	42	46
Pendency at Closure by OCTC with Referral						
Average	124	96	109	83	59	64
Median	72	48	28	19	23	27
Pendency at Closure by OCTC with Nondisciplinary Action						
Average	164	158	190	161	160	154
Median	112	79	68	57	50	46
Pendency at Closure by OCTC						
Average	149	129	124	131	108	127
Median	65	67	41	35	42	45
Pendency at Filing in SBC						
Average	504	584	631	651	738	730
Median	456	543	589	591	654	619
Pendency at Year End in OCTC						
Average	215	298	327	264	263	306
Median	111	180	154	128	137	187
Pendency at Closure by SBC with No Action						
Average	1,942	1,802	1,011	1,462	1,220	1,046
Median	1,954	2,203	978	879	1,027	1,212
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	716	968	239	973
Median	0	0	749	836	239	973
Pendency at Closure by SBC with Disciplinary Action						
Average	895	942	1,034	1,039	1,076	1,040
Median	783	863	984	938	963	948
Pendency at Closure by SBC						
Average	1,177	1,150	1,025	1,068	1,086	1,040
Median	917	935	975	936	964	971
Pendency at Year end in SBC						
Average	1,032	1,018	1,019	1,016	1,116	1,339
Median	848	879	875	941	959	1,117
State Bar-Initiated Inquiries						
Pendency at Closure by OCTC with No Action						
Average	215	220	167	176	178	268
Median	113	125	29	49	43	119
Pendency at Closure by OCTC with Referral						
Average	28	114	0	0	0	0
Median	28	114	0	0	0	0
Pendency at Closure by OCTC with Nondisciplinary Action						

Table SR-5. Speed of Complaint Handling	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Average	235	243	181	293	205	478
Median	184	186	90	225	175	411
Pendency at Closure by OCTC						
Average	217	222	171	190	181	292
Median	129	131	81	56	56	153
Pendency at Filing in SBC						
Average	503	583	615	770	709	895
Median	520	581	329	623	491	808
Pendency at Year End in OCTC						
Average	337	410	447	361	447	470
Median	248	272	286	206	337	334
Pendency at Closure by SBC with No Action						
Average	2,334	1,332	0	1,593	577	2,018
Median	2,049	1,225	0	564	577	1,304
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	0	1,483	0	0
Median	0	0	0	1,483	0	0
Pendency at Closure by SBC with Disciplinary Action						
Average	980	881	1,010	1,278	1,162	983
Median	767	738	847	925	907	971
Pendency at Closure by SBC						
Average	1,349	987	1,010	1,319	1,117	1,156
Median	879	957	847	925	841	994
Pendency at Year end in SBC						
Average	1,152	1,266	1,385	1,282	1,395	1,552
Median	1,055	881	1,143	910	1,122	1,349
Probation Referrals						
Pendency at Closure by OCTC with No Action						
Average	560	350	325	349	452	281
Median	322	284	256	154	265	194
Pendency at Closure by OCTC with Referral						
Average	0	0	0	0	0	0
Median	0	0	0	0	0	0
Pendency at Closure by OCTC with Nondisciplinary Action						
Average	34	0	83	55	100	331
Median	34	0	83	46	83	331
Pendency at Closure by OCTC						
Average	527	350	304	297	388	285
Median	300	284	237	117	234	240
Pendency at Filing in SBC						
Average	240	221	314	284	285	399
Median	202	168	284	240	192	399
Pendency at Year End in OCTC						

Table SR-5. Speed of Complaint Handling	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Average	199	276	285	266	251	243
Median	93	181	64	127	256	205
Pendency at Closure by SBC with No Action						
Average	1,370	608	899	487	622	565
Median	1,190	687	579	427	452	554
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	0	474	1,440	0
Median	0	0	0	474	1,440	0
Pendency at Closure by SBC with Disciplinary Action						
Average	511	585	657	630	588	665
Median	424	514	571	602	518	509
Pendency at Closure by SBC						
Average	809	588	693	587	616	651
Median	479	521	574	539	505	522
Pendency at Year end in SBC						
Average	535	545	568	610	760	1,043
Median	335	396	503	371	519	804
Reportable Actions, Reported by Self						
Pendency at Closure by OCTC with No Action						
Average	116	130	165	177	111	238
Median	35	51	49	57	58	85
Pendency at Closure by OCTC with Referral						
Average	0	0	0	0	0	0
Median	0	0	0	0	0	0
Pendency at Closure by OCTC with Nondisciplinary Action						
Average	176	193	291	215	366	344
Median	140	134	214	98	312	333
Pendency at Closure by OCTC						
Average	123	136	193	184	141	250
Median	45	52	78	61	61	109
Pendency at Filing in SBC						
Average	512	437	747	629	679	819
Median	489	373	850	477	362	819
Pendency at Year End in OCTC						
Average	340	365	328	276	362	452
Median	161	257	229	111	269	328
Pendency at Closure by SBC with No Action						
Average	2,732	1,304	808	0	0	0
Median	2,732	1,302	808	0	0	0
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	1,179	1,378	0	0
Median	0	0	1,179	1,378	0	0
Pendency at Closure by SBC with Disciplinary Action						

Table SR-5. Speed of Complaint Handling	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Average	828	601	1,180	984	1,018	1,440
Median	709	583	1,181	906	911	1,277
Pendency at Closure by SBC						
Average	964	793	1,161	1,007	1,018	1,440
Median	749	679	1,178	943	911	1,277
Pendency at Year end in SBC						
Average	985	1,032	1,098	1,136	1,343	1,364
Median	1,042	986	876	888	1,201	1,293
Reportable Actions, Reported by Others						
Pendency at Closure by OCTC with No Action						
Average	66	73	62	59	110	118
Median	37	51	28	42	59	26
Pendency at Closure by OCTC with Referral						
Average	0	0	0	0	0	0
Median	0	0	0	0	0	0
Pendency at Closure by OCTC with Nondisciplinary Action						
Average	133	132	338	224	194	193
Median	57	58	253	119	135	160
Pendency at Closure by OCTC						
Average	71	77	90	72	129	140
Median	41	52	29	44	73	77
Pendency at Filing in SBC						
Average	435	456	659	829	634	748
Median	388	401	688	818	476	489
Pendency at Year End in OCTC						
Average	206	290	346	263	271	446
Median	112	187	184	134	155	301
Pendency at Closure by SBC with No Action						
Average	2,121	747	737	1,637	0	850
Median	1,902	755	714	1,382	0	606
Pendency at Closure by SBC with Nondisciplinary Action						
Average	0	0	0	0	0	0
Median	0	0	0	0	0	0
Pendency at Closure by SBC with Disciplinary Action						
Average	711	659	1,091	1,512	970	1,166
Median	658	613	1,059	1,109	826	1,106
Pendency at Closure by SBC						
Average	1,032	678	969	1,516	970	1,132
Median	672	728	828	1,127	826	1,068
Pendency at Year end in SBC						
Average	834	1,111	1,341	1,216	1,154	1,132
Median	607	873	905	1,116	1,012	852

Formal Disciplinary Filings and Outcomes²⁵

Section 6086.15, subdivision (a)(6) The number, average pending times, and types of filed notices of disciplinary charges and formal disciplinary outcomes.

Table SR-6A. Formal Filings	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Notices of Disciplinary Charges						
Number of Filings	491	366	420	342	339	218
Average Pendency at Filing	424	450	514	502	597	662
Median Pendency at Filing	369	404	492	392	510	539
Number of Attorneys ²⁶	241	190	220	156	154	98
Stipulations to Facts and Discipline						
Number of Filings	112	92	99	80	76	79
Average Pendency at Filing	386	513	660	560	613	657
Median Pendency at Filing	339	462	714	563	446	459
Number of Attorneys	75	60	83	64	62	60
Criminal Conviction Transmittals²⁷						
Number of Filings	46	197	168	134	149	112
Number of Attorneys	45	183	153	111	118	93

Table SR-6B. Formal Disciplinary Outcomes	FY 2019	FY 2020	FY 2021	FY 2022	FY2023	FY2024
Disbarments						
Number of Cases	244	209	135	184	169	147
Average Pendency	933	1,017	898	1,132	1,060	1,121
Median Pendency	783	873	800	945	939	1,051
Number of Attorneys Disbarred	119	118	83	84	76	68
Probation with Actual Suspension						
Number of Cases	230	252	188	224	131	175
Average Pendency	842	858	1,086	1,223	1,135	1,133
Median Pendency	702	786	1,044	1,077	1,076	1,142
Number of Attorneys Suspended	129	120	95	89	91	85

²⁵ Cases are filed in SBC via a Notice of Disciplinary Charges (NDC), Stipulations to Facts and Discipline, and Transmittal of Criminal Convictions. This table counts each case only once: cases in which an NDC is filed or information about a criminal conviction is transmitted that are later resolved by stipulation are only counted based on the initial filing in SBC. This table includes all formal disciplinary filings, including criminal conviction matters and reportable actions not included in other sections of this report.

²⁶ Number of attorneys counts added to each section of SR-6A, to match the layout of table SR-6B.

²⁷ Pendency time for Criminal Conviction Transmittals is not applicable since it is dependent on the cases reaching finality in the criminal court, which is outside of the State Bar's control. Conviction transmittal tracking data was only available early 2019, so data in earlier years will not be available or complete.

Table SR-6B. Formal Disciplinary Outcomes	FY 2019	FY 2020	FY 2021	FY 2022	FY2023	FY2024
Probation with Stayed Suspension						
Number of Cases	45	41	53	32	44	42
Average Pendency	680	746	967	876	1,226	944
Median Pendency	548	638	908	867	1,349	854
Number of Attorneys Suspended	28	32	41	23	31	33
Public Reprovals						
Number of Cases	22	31	42	56	31	34
Average Pendency	566	492	699	882	1,001	1,236
Median Pendency	534	403	655	906	1,006	1,387
Number of Attorneys Publicly Reproved	22	31	35	43	27	29
Private Reprovals						
Number of Cases	13	23	52	27	21	17
Average Pendency	596	669	842	893	729	1,078
Median Pendency	561	704	711	934	592	907
Number of Attorneys Privately Reproved	12	16	43	23	18	14

Other Matters and Specified Definitions

Section 6086.15, subdivision (a)(7) The number, average pending times, and types of other matters, including petitions to terminate practice pursuant to section 6180 or 6190, interim suspensions and license restrictions pursuant to section 6007, motions to enforce a binding arbitration award, judgment, or agreement pursuant to subdivision (d) of section 6203, motions to revoke probation, letters of warning, private reprovos, admonitions, and agreements in lieu of discipline.²⁸

Table SR-7A. Other Matters	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Petitions to Terminate Practice pursuant to section 6180 or section 6190						
Petitions Filed	12	3	4	11	5	5
Average Pendency at Filing	211	82	185	166	263	401
Median Pendency at Filing	67	4	174	106	282	287
Cases with Petitions Granted	7	6	0	7	3	4
Cases with Petitions Denied	0	0	0	0	0	0
Total Cases Disposed by Superior Court	2	5	2	5	1	7
Average Pendency at Disposition	1,928	1,736	799	1,529	2,095	1,431
Median Pendency at Disposition	1,928	1,100	799	1,449	2,095	1,156
Interim Suspensions and Restrictions pursuant to section 6007						
Cases Opened	16	18	18	21	34	22
Cases Reopened	0	0	0	0	0	0
Cases Closed without Filing	0	1	1	0	3	1
Average Pendency at Closure	0	45	48	0	92	1,063
Median Pendency at Closure	0	45	48	0	27	1,063
Cases Filed	15	19	16	20	29	23
Average Pendency at Filing	62	40	9	12	13	28
Median Pendency at Filing	0	6	2	1	1	1
Cases Remaining in OCTC At Year End	2	0	1	4	4	2
Average Pendency at Year End	75	0	139	146	237	91
Median Pendency at Year End	75	0	139	38	38	91
Cases with Petitions Granted	15	12	11	9	19	18
Cases with Petitions Denied	1	2	0	5	8	3
Total Cases Disposed by SBC	16	14	14	23	29	23
Average Pendency at Disposition	168	102	109	218	89	170
Median Pendency at Disposition	89	81	75	142	77	112
Cases Remaining in SBC at Year End	4	9	11	7	7	7
Average Pendency at Year End	30	145	292	217	353	399
Median Pendency at Year End	27	42	98	80	177	198

²⁸ The full text of sections 6180, 6190, 6007, and 6203 can be found by clicking on the embedded link found in each relevant header.

Table SR-7A. Other Matters	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Motions to Enforce Fee Arbitration Award						
Cases Opened	1	3	3	4	4	1
Cases with Petitions Granted	0	2	2	3	5	1
Cases with Petitions Denied	1	0	0	1	0	0
Total Cases Disposed by SBC	1	3	1	5	5	1
Average Pendency at Disposition	71	72	82	68	71	56
Median Pendency at Disposition	71	77	82	55	65	56
Cases Remaining in SBC at Year End	0	0	2	1	0	0
Average Pendency at Year End	0	0	55	14	0	0
Median Pendency at Year End	0	0	55	14	0	0
Motions to Revoke Probation						
Cases Opened	7	1	3	2	2	3
Cases with Petitions Granted	5	0	4	1	2	3
Cases with Petitions Denied	0	1	0	0	0	0
Total Cases Disposed by SBC	6	1	5	1	1	2
Average Pendency at Disposition	166	274	292	163	229	215
Median Pendency at Disposition	159	274	237	163	229	215
Cases Remaining in SBC at Year End	2	2	0	1	2	3
Average Pendency at Year End	205	293	0	3	50	125
Median Pendency at Year End	205	293	0	3	50	116

Table SR-7B. Specified Dispositions	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Admonitions						
Cases	0	1	3	4	3	3
Average Pendency at Disposition	0	687	905	1,243	1,295	1,442
Median Pendency at Disposition	0	687	857	1,312	1,258	1,638
Attorneys Admonished	0	1	3	3	3	3
Agreements In Lieu of Discipline						
Cases	5	15	4	5	15	1
Average Pendency at Disposition	398	553	889	784	868	581
Median Pendency at Disposition	428	485	994	694	871	581
Attorneys Entering into Agreements	5	12	4	5	10	1
Warning Letters						
Cases	632	535	704	596	536	555
Average Pendency at Disposition	263	299	354	299	323	327
Median Pendency at Disposition	214	231	280	207	251	231
Attorneys Receiving Warning Letters	570	500	617	537	457	482
Directional Letters						
Cases	468	691	577	545	591	649
Average Pendency at Disposition	42	49	45	39	40	62

Table SR-7B. Specified Dispositions	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Median Pendency at Disposition	35	32	20	17	22	26
Attorneys Receiving Directional Letter	445	618	508	465	513	596
Resource Letters						
Cases	197	266	258	202	320	476
Average Pendency at Disposition	149	202	203	193	222	216
Median Pendency at Disposition	114	178	123	121	153	164
Attorneys Receiving Resource Letters	182	234	249	193	254	404
Private Reprovals						
Cases	13	23	52	27	21	17
Average Pendency at Disposition	596	669	842	893	729	1,078
Median Pendency at Disposition	561	704	711	934	592	907
Attorneys Privately Reproved	12	16	43	23	18	14

Unauthorized Practice of Law by Former Attorneys²⁹

Section 6086.15, subdivision (a)(8) The number, average pending times, and outcomes of complaints involving a State Bar licensee who has been disbarred or who has resigned, and is engaged in the unauthorized practice of law, including referrals to district attorneys, city attorneys, or other prosecuting authorities, or petitions to terminate practice pursuant to section 6180.

Table SR-8. UPL by Former Attorneys	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Opened	52	39	23	23	22	33
Cases Closed without Filing	46	52	33	21	17	26
Average Pendency at Closure	252	267	213	154	267	185
Median Pendency at Closure	266	273	214	161	217	117
Cases Filed in Superior Court	2	0	0	0	0	0
Average Pendency at Filing	326	0	0	0	0	0
Median Pendency at Filing	326	0	0	0	0	0
Cases Remaining in OCTC At Year End	35	22	12	15	20	27
Average Pendency at Year End	180	133	127	194	178	224
Median Pendency at Year End	207	126	130	121	37	142
Cases with Petitions Granted	0	2	0	0	0	0
Average Pendency at Petitions Granted	0	374	0	0	0	0
Median Pendency at Petitions Granted	0	374	0	0	0	0
Cases with Petitions Denied ³⁰	0	0	0	0	0	0
Average Pendency at Petitions Denied	0	0	0	0	0	0
Median Pendency at Petitions Denied	0	0	0	0	0	0
Total Cases Disposed by Superior Court	0	0	0	0	0	0
Average Pendency at Disposition	0	0	0	0	0	0
Median Pendency at Disposition	0	0	0	0	0	0
Referrals to Law Enforcement	43	24	29	15	10	8
Cease and Desist Letters Sent	11	22	9	12	15	9

²⁹ This table does not include attorneys who are disciplined for practicing law during a time that their license is suspended.

³⁰ The pendency numbers for petitions denied are now fully expanded.

Unauthorized Practice of Law by Nonattorneys

Section 6086.15, subdivision (a)(9) The number, average pending times, and outcomes of complaints against nonattorneys engaged in the unauthorized practice of law (UPL), including referrals to district attorneys, city attorneys, or other prosecuting authorities; petitions to terminate practice pursuant to section 6126.3; or referrals to prosecuting authorities or actions by the State Bar pursuant to section 6126.7.

Table SR-9. UPL by Nonattorneys	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Cases Opened	845	761	680	660	845	908
Cases Closed without Filing	749	940	677	589	789	819
Average Pendency at Closure	166	180	134	134	165	155
Median Pendency at Closure	139	173	126	111	125	106
Cases Filed in Superior Court ³¹	13	10	7	9	6	5
Average Pendency at Filing	245	324	421	296	649	477
Median Pendency at Filing	242	334	414	265	567	525
Cases Remaining in OCTC at Year End	450	266	270	337	393	483
Average Pendency at Year End	141	143	138	156	158	153
Median Pendency at Year End	129	131	85	90	95	115
Cases with Petitions Granted	7	16	6	7	7	5
Average Pendency at Petitions Granted	267	468	349	292	717	513
Median Pendency at Petitions Granted	256	502	418	307	637	543
Cases with Petitions Denied ³²	0	0	0	0	0	0
Average Pendency at Petitions Denied	0	0	0	0	0	0
Median Pendency at Petitions Denied	0	0	0	0	0	0
Total Cases Disposed by Superior Court	1	7	0	3	7	18
Average Pendency at Disposition	552	848	0	1,422	1,513	1,699
Median Pendency at Disposition	552	710	0	1,395	1,500	1,533
Civil Remedies pursuant to section 6126.7 ³³	0	0	0	0	0	0
Referrals to Law Enforcement	420	322	326	276	290	208
Cease and Desist Letters Sent	126	178	197	183	207	209

³¹ Petition to Terminate filed in superior court, pursuant to section 6126.3, to assume the practice of a person holding themselves out as entitled to practice law without being an active licensee of the State Bar.

³² The pendency numbers for petitions denied are now fully expanded.

³³ Previously for FY 2021 the ADR reported two cases. It was incorrect as these cases had closed in investigation and did not go on to civil remedies. Therefore, in each year reported we did not pursue, and neither expended funds on nor collected funds from civil penalty action under section 6126.7.

Disposition of Felony Convictions and Conviction Transmittals

Section 6086.15, subdivision (a)(12) Compliance with the requirement of section 6101 to transmit, within 30 days of receipt, the record of any criminal conviction which involves or may involve moral turpitude to the Supreme Court, or to close the matter if transmittal to the Supreme Court is not appropriate.

Section 6094.5, subdivision (d) To ensure that criminal conviction matters are handled competently, accurately, and timely, the State Bar shall report on its compliance with the requirement of section 6101 to transmit, within 30 days of receipt, the record of any conviction which involves or may involve moral turpitude to the Supreme Court with such other records and information as may be appropriate to establish the Supreme Court's jurisdiction.

Section 6095, subdivision (b) To the extent the information is known to the State Bar, it shall report annually to the Assembly and Senate Judiciary Committees concerning the judicial or disciplinary disposition of all criminal or disciplinary proceedings involving the allegation of the commission of a felony by an attorney.

Table SR-10A. Disposition of Felony Convictions	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Felony Convictions	38	23	18	20	15	26
Cases filed in SBC	35	20	14	15	12	20
Average days from conviction to filing in court ³⁴	239	187	167	103	77	86
Median days from conviction to filing in court	127	119	124	95	67	65
Cases disposed in SBC	34	29	22	23	28	23
Average days from filing to disposition in court	858	881	1,274	792	702	827
Median days from filing to disposition in court	488	535	838	546	446	460
SBC Dispositions						
Disbarment	24	24	11	13	15	14
Dismissal	2	1	2	2	1	0
Probation with Actual Suspension	8	3	8	3	8	8
Probation with Stayed Suspension	0	0	0	0	1	1
Public Reproval	0	1	0	2	1	0
Private Reproval	0	0	0	0	0	0
Termination Due to Resignation	0	0	1	0	1	0
Termination Due to Death	0	0	0	3	1	0

³⁴ Both attorneys and courts are required to report felony convictions, but superior courts may not timely report convictions to the State Bar. Any resultant delays in discovery of felony convictions may lead to the extended pendency between conviction and filing in court.

Table SR-10B. Conviction Transmittals ³⁵	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Conviction Transmittals	46	197	168	134	149	115
Number Meeting 30-Day Requirement	NA	NA	NA	83	124	96
Percentage Meeting 30-Day Requirement	NA	NA	NA	62%	83%	83%
Number Not Meeting 30-Day Requirement	NA	NA	NA	51	25	15
Percentage Not Meeting 30-Day Requirement	NA	NA	NA	38%	17%	13%
Number with No Transmittal Time Data	46	197	168	0	0	0
Percentage with No Transmittal Time Data	100%	100%	100%	0%	0%	0%

³⁵ Conviction transmittal data includes both misdemeanor and felony convictions. Transmittal filing data became available from early 2019, and transmittal time data became available from the latter half of 2021. Percentages meeting and not meeting time standards are calculated based only on cases for which transmittal time is available.

Accessibility Demand Letters

Civil Code Section 55.32(f)(1) Notwithstanding section 10231.5 of the Government Code, on or before April 30, 2019, and annually as part of the Annual Discipline Report, no later than April 30 thereafter, the State Bar shall report to the Legislature and the chairs of the Senate and Assembly Judiciary Committees, both of the following with respect to demand letters received by the State Bar: (A) The number of investigations opened to date on a suspected violation of subdivision (b) or (c) of section 55.31. (B) Whether any disciplinary action resulted from the investigation, and the results of that disciplinary action.³⁶

The laws governing construction-related accessibility claims involving a place of public accommodation were revised by the enactment of Senate Bill 1186 (Stats. 2012, Chapter 383). The purpose of SB 1186 is set forth in uncodified sections of the bill. One section states:

The Legislature finds and declares that a very small number of plaintiffs' attorneys have been abusing the right of petition under sections 52 and 54.3 of the Civil Code by issuing a demand for money to a California business owner that demands the owner pay a quick settlement of the attorney's alleged claim under those laws or else incur greater liability and legal costs if a lawsuit is filed. These demands for money allege one or more, but frequently multiple, claims for asserted violations of a construction-related accessibility standard and often demand a quick money settlement based on the alleged multiple claims without seeking and obtaining actual repair or correction of the alleged violations on the site. These "pay me now or pay me more" demands are used to scare businesses into paying quick settlements that only financially enrich the attorney and claimant and do not promote accessibility either for the claimant or the disability community as a whole. These practices, often involving a series of demand for money letters sent to numerous businesses, do not promote compliance with the accessibility requirements and erode public support for and confidence in our laws. (SB 1186 uncodified sec. 24.)

Civil Code section 55.32 contains several requirements and restrictions concerning demand letters and demands for money in construction-related accessibility claims. As of January 1, 2023, section 55.32, subdivision (b) has been expanded to include complaints alleging that internet websites are not accessible. As of January 1, 2019, the requirement to provide a copy of a demand letter to the State Bar was repealed. The legislative history of this section makes clear that the State Bar retains prosecutorial discretion to determine what, if any, disciplinary action should be taken in a particular case. As the September 1, 2012, Senate Judiciary Committee analysis notes, at pages 22–23:

The author notes that "even though certain acts shall be subject to discipline, the commencement of an actual disciplinary action is at the prosecutorial discretion of the State Bar's OCTC. Nothing in the bill would require the State Bar to bring an action for any offense,

³⁶ The ADR is no longer due by April 30 but rather by November 30 of each year.

and it is certainly possible that the State Bar may just send the lawyer offending the provision an advisory letter for a first violation.”

DEMAND LETTERS RECEIVED,³⁷ INVESTIGATIONS OPENED, AND DISCIPLINARY ACTION

From July 1, 2023, through June 30, 2024, the State Bar received 103 copies of demand letters and 100 out of 103 demand letters were included as part of complaints as defined by Civil Code section 55.3, subdivision (a). These letters were carefully reviewed by the Office of Professional Competence, and 100 demand letters were referred to the OCTC for investigation on suspected violation of the prohibition against a demand for money or a specific statement of monetary liability.

Table SR-11 shows the number of letters received in each 12-month period since the first report, and the number of investigations undertaken.

Table SR-11. Demand Letters Investigations	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Number of Letters Received	40	13	7	10	50	103
Investigations of Suspected Violations of Civil Code Section 55.31 or 55.32 ³⁸	4	2	0	0	43	100

³⁷ As of January 1, 2019, Civil Code section 55.32 no longer requires an attorney who provides a demand letter as defined by Civil Code section 55.3 to provide a copy of that demand letter to the State Bar of California’s Office of Professional Competence. However, Civil Code section 55.3, subdivision (b)(1)(A) continues to require attorneys to provide notices that tell recipients of demand letters that they can send copies to the Office of Professional Competence. In addition, some attorneys voluntarily copy the State Bar, and Civil Code section 55.32, subdivision (f)(1) continues to require the State Bar to report on disciplinary matters involving prohibited demands for money or specific statements of monetary liability. For these reasons, even though plaintiff attorneys are no longer required to copy the State Bar, since 2019, the Office of Professional Competence continues to receive copies that were reviewed and processed. Table SR-11 includes the number of demand letters received by the Office of Professional Competence in 2019 and following years.

³⁸ In the event the State Bar receives information indicating that an attorney failed to comply with Civil Code section 55.32, subdivision (b), Civil Code section 55.32, subdivision (c) requires the State Bar to investigate to determine whether there is a violation of Civil Code section 55.32, subdivision (b). Table SR-11 includes the total number of complaints alleging failures to comply with Civil Code section 55.32, subdivision (b) and demand letters received by the Office of Professional Competence in 2019 and following years.

Insurance Fraud

Insurance Code Section 1872.95 (a) Within existing resources, the Medical Board of California, the Board of Chiropractic Examiners, and the State Bar shall each designate employees to investigate and report on possible fraudulent activities relating to workers' compensation, motor vehicle insurance, or disability insurance by licensees of the board or the bar. Those employees shall actively cooperate with the Fraud Division in the investigation of those activities. (b) The Medical Board of California and the Board of Chiropractic Examiners shall each report annually, on or before March 1, to the committees of the Senate and Assembly having jurisdiction over insurance on their activities established pursuant to subdivision (a) for the previous year. The State Bar shall include this report in its Annual Discipline Report on or before April 30.³⁹ That report shall specify, at a minimum, the number of cases investigated, the number of cases forwarded to the Fraud Division or other law enforcement agencies, the outcome of all cases listed in the report, and any other relevant information concerning those cases or general activities conducted under subdivision (a) for the previous year. The report shall include information regarding activities conducted in connection with cases of suspected automobile insurance fraud.

In 1999, the Legislature enacted the Organized Crime Prevention and Victim Protection Act (Assembly Bill 1050, Stats. 1999, ch. 885) to provide for a focused, coordinated effort by all appropriate agencies and organizations to deal more effectively with fraudulent activities related to automobile and other specified insurance claims. Among other things, the act requires the Medical Board of California, the Board of Chiropractic Examiners, and the State Bar to report annually to the committees of the Legislature having jurisdiction over insurance about complaints alleging possible fraudulent activities relating to workers' compensation, motor vehicle insurance, or disability insurance by licensees of the board or the State Bar.

Table SR-12. Insurance Fraud	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Workers' Compensation						
Investigations Initiated	1	13	0	0	0	0
Suspended Pending Disbarment	12	0	0	0	0	0
Suspended Pending Criminal Proceedings	0	0	0	0	0	0
Closed by OCTC with No Action	2	11	1	0	0	0
Pending in Investigation at Year End	11	1	0	0	0	0
Referrals to Fraud Division	0	0	0	0	0	0
Referrals to Law Enforcement	0	0	0	0	0	0
Motor Vehicle						
Investigations Initiated	0	0	0	0	0	0
Closed by OCTC with No Action	1	0	0	0	0	0
Referrals to Fraud Division	0	0	0	0	0	0
Referrals to Law Enforcement	0	0	0	0	0	0
Disability						
Investigations Initiated	0	0	0	0	0	0

³⁹ The ADR is no longer due by April 30 but rather by November 30 of each year.

Provision of Financial Services by Lawyers

Business and Professions Code Section 6177 The State Bar by April 30 of each year shall include in its Annual Discipline Report information on the number of complaints filed against California attorneys alleging a violation of this article. The report shall also include the type of charges made in each complaint, the number of resulting investigations initiated, and the number and nature of any disciplinary actions taken by the State Bar for violations of this article.⁴⁰

In 1999, the Legislature enacted Article 10.5 of the State Bar Act regulating the sale of financial products, including long-term care insurance and life insurance, by lawyers to clients who are elders or dependent adults (Bus. & Prof. Code, §§ 6175-6176; added by Senate Bill number 72 (Stats. 1999, Ch. 454)). These sales must be fair and reasonable to the clients, and lawyers must make specific written disclosures.⁴¹

The State Bar received no complaints alleging violations of Article 10.5 for the period January 1, 2015 through June 30, 2024. Since 2001, the State Bar has received 20 complaints alleging violations of the financial products statutes. Table SR-13 provides a summary of the resolution of those complaints.

[Table SR-13. Financial Services Complaints](#)

Closed in Investigation	11
Resignation with Charges Pending	2*
Disbarment	7**

Note: *Two attorneys

**One attorney

⁴⁰ The ADR is no longer due by April 30 but rather by November 30 of each year.

⁴¹ The full text of section 6175.3, which governs the provision of legal services by lawyers to elder and dependent adults, can be found by clicking on the embedded link found in the table header.

Condition of the Client Security Fund

Section 6086.15, subdivision (a)(10) A description of the condition of the Client Security Fund (CSF), including an accounting of payouts.

The CSF, established by State Bar-sponsored legislation in 1972, represents one of the State Bar's most important initiatives to achieve its public protection goals. The CSF is designed to compensate legal consumers for monetary losses caused by the dishonest conduct of California attorneys.

Summary Statistics of CSF Payments

Aggregated by Attorney

Fiscal Year	Number of Attorneys	Total Amount Paid	Minimum	Maximum	Average	Median
2019	272	\$8,981,480	\$300	\$564,850	\$33,020	\$10,000
2020	186	\$8,240,586	\$215	\$2,491,443	\$44,304	\$7,500
2021	227	\$8,075,698	\$300	\$673,497	\$35,576	\$9,509
2022	107	\$5,930,331	\$500	\$1,589,288	\$55,424	\$10,000
2023	133	\$3,659,266	\$500	\$359,000	\$27,513	\$8,000
2024	93	\$6,067,049	\$500	\$617,815	\$65,237	\$7,875
Total	1018	\$40,954,410	\$215	\$2,491,443	\$40,230	\$8,985

Fiscal Year	Number of Applications	Total Amount Paid	Minimum	Maximum	Average	Median
<i>Aggregated by Application*</i>						
2019	940	\$8,981,480	\$299	\$200,000	\$9,555	\$3,926
2020	650	\$8,240,586	\$215	\$200,000	\$12,678	\$5,130
2021	566	\$8,075,698	\$150	\$200,000	\$14,268	\$4,887
2022	261	\$5,930,331	\$500	\$362,749	\$22,722	\$7,250
2023	325	\$3,659,266	\$180	\$107,364	\$11,259	\$4,622
2024	302	\$6,067,049	\$205	\$200,000	\$20,090	\$5,815
Total	3044	\$40,954,410	\$150	\$362,749	\$13,454	\$4,907

* Although the maximum reimbursement from the fund to an applicant is \$100,000, more than one applicant may be included on an application.

The Summary Statistics of CSF Payments table, above, shows a six-year summary of CSF reimbursement payments, both aggregated by attorney (upper portion) and by application (lower portion). In the six fiscal years shown, the fund reimbursed victims a total of \$40,954,410 on 3,044 applications against a combined total of 1,018 attorneys. As some of those attorneys were involved in paid cases over multiple years, the total of unique attorneys paid against was 732.

In FY 2024, the CSF reimbursed \$6.07 million on 302 applications against 93 individual attorneys, with the lowest payment on an application \$205 and the highest \$200,000. The average and median payments on applications for 2023–2024 were \$20,090 and \$5,815, respectively.

In FY 2024, the lowest total amount paid against an attorney was \$500 and the highest total amount paid against an attorney was \$617,815, with average and median reimbursements against attorneys amounting to \$65,237 and \$7,875, respectively.

After a significant spike in applications over a decade ago due to loan modification fraud schemes, the CSF inventory for the past few years has seen only modest variation. The CSF received 628 new applications in FY 2024, down from 724 new applications received in FY2023. At the end of FY 2024, there were 1,002 open applications down slightly from 1,184 at the end of FY 2023.

The CSF is financed by an annual assessment added to attorney licensing fees, which is used exclusively for purposes of paying reimbursements and administering the CSF. After a one-time increase of \$40 per active licensee that resulted in an \$80 per active attorney assessment in calendar year 2020, the annual active attorney assessment reverted to \$40 per active licensee and \$10 per inactive licensee in 2021 and remained so in 2024, bringing the CSF's projected calendar year 2024 revenue to approximately \$9.1 million and its FY 24 revenue to \$9.3 million.

The CSF has the authority to reimburse victims who have lost money or property due to theft, or an act equivalent to theft, committed by a lawyer acting in a professional capacity. As detailed in the CSF rules, the CSF can reimburse funds received and wrongfully retained by a California lawyer. The maximum reimbursable amount to an individual applicant is \$100,000. The CSF Commission, appointed by the State Bar Board of Trustees, administers the CSF and directs policy and decision-making on applications for reimbursement according to the CSF rules.

Table SR-14. FY 2024 CSF Payments

Attorney⁴²	Number of CSF Claims Paid	Total Amount Paid
1	8	\$617,814.68
2	1	\$3,435.00
3	3	\$149,529.99
4	6	\$6,707.31
5	1	\$3,600.00
6	4	\$10,415.00
7	1	\$8,720.00
8	1	\$5,000.00
9	1	\$5,000.00
10	1	\$7,500.00
11	1	\$3,500.00
12	2	\$7,059.68
13	1	\$5,820.81
14	1	\$5,250.00
15	1	\$2,865.00
16	1	\$5,500.00
17	4	\$32,500.00
18	1	\$37,761.53
19	7	\$214,000.00
20	1	\$12,500.00
21	1	\$5,000.00
22	2	\$5,350.00

⁴² Attorney names are not provided, as CSF rules require confidentiality under certain circumstances.

Table SR-14. FY 2024 CSF Payments

Attorney⁴²	Number of CSF Claims Paid	Total Amount Paid
23	4	\$93,683.00
24	2	\$1,556.00
25	4	\$206,934.22
26	7	\$27,500.00
27	1	\$6,500.00
28	10	\$78,096.23
29	1	\$5,000.00
30	5	\$215,106.18
31	1	\$8,540.00
32	1	\$2,457.08
33	1	\$6,000.00
34	1	\$5,000.00
35	1	\$800.00
36	1	\$4,965.00
37	3	\$101,772.48
38	1	\$3,500.00
39	11	\$50,054.71
40	1	\$1,950.00
41	1	\$4,000.00
42	1	\$500.00
43	1	\$100,000.00
44	1	\$65,000.00
45	3	\$300,000.00
46	6	\$72,231.18
47	14	\$575,009.52

Table SR-14. FY 2024 CSF Payments

Attorney⁴²	Number of CSF Claims Paid	Total Amount Paid
48	30	\$346,194.88
49	1	\$3,500.00
50	1	\$59,175.00
51	1	\$4,500.00
52	2	\$5,152.00
53	1	\$5,000.00
54	1	\$4,000.00
55	1	\$28,199.13
56	9	\$95,525.50
57	1	\$1,000.00
58	1	\$2,800.00
59	2	\$58,572.44
60	1	\$14,500.00
61	1	\$5,000.00
62	2	\$116,565.00
63	2	\$200,000.00
64	1	\$5,000.00
65	1	\$100,000.00
66	1	\$3,500.00
67	1	\$100,000.00
68	1	\$200,000.00
69	1	\$7,875.00
70	60	\$223,613.00
71	2	\$150,000.00
72	1	\$4,118.24

Table SR-14. FY 2024 CSF Payments

Attorney⁴²	Number of CSF Claims Paid	Total Amount Paid
73	3	\$400,000.00
74	1	\$5,625.00
75	3	\$33,111.47
76	2	\$2,335.00
77	1	\$8,328.75
78	2	\$26,775.00
79	1	\$16,775.10
80	1	\$5,240.00
81	2	\$131,527.13
82	1	\$3,003.45
83	2	\$8,200.00
84	3	\$32,375.00
85	2	\$11,933.36
86	1	\$89,538.33
87	1	\$2,500.00
88	1	\$4,500.00
89	2	\$6,500.00
90	11	\$336,006.84
91	1	\$3,000.00
92	1	\$100,000.00
93	1	\$5,000.00
TOTAL	302	\$6,067,049.22

Table SR-14. FY 2023 CSF Payments

Attorney⁴³	Number of CSF Claims Paid	Total Amount Paid
1	1	\$8,000.00
2	1	\$1,800.00
3	2	\$26,800.00
4	14	\$6,001.38
5	5	\$359,000.00
6	7	\$93,414.55
7	1	\$1,800.00
8	1	\$900.00
9	1	\$900.00
10	1	\$1,000.00
11	1	\$26,825.74
12	1	\$1,500.00
13	1	\$10,000.00
14	1	\$3,035.00
15	1	\$45,000.00
16	3	\$9,610.00
17	1	\$100,000.00
18	2	\$15,000.00
19	1	\$20,000.00
20	1	\$7,155.00
21	1	\$1,500.00
22	5	\$31,960.00
23	1	\$27,969.88
24	1	\$2,164.94
25	1	\$1,235.00
26	1	\$4,235.92
27	1	\$15,000.00
28	1	\$100,000.00
29	1	\$2,534.10
30	1	\$850.00
31	1	\$46,400.00
32	6	\$6,400.00
33	1	\$2,000.00
34	1	\$15,000.00
35	1	\$11,150.00
36	1	\$100,000.00
37	1	\$6,000.00
38	2	\$8,203.36

⁴³ Attorney names are not provided, as CSF rules require confidentiality under certain circumstances.

Table SR-14. FY 2023 CSF Payments

Attorney⁴³	Number of CSF Claims Paid	Total Amount Paid
39	1	\$5,150.00
40	1	\$5,327.57
41	7	\$13,533.21
42	11	\$323,789.76
43	10	\$65,500.00
44	1	\$10,000.00
45	1	\$25,000.00
46	1	\$2,228.66
47	1	\$3,000.00
48	6	\$11,515.00
49	1	\$7,340.57
50	1	\$3,495.50
51	2	\$11,820.00
52	1	\$20,000.00
53	1	\$3,765.00
54	1	\$59,000.00
55	2	\$7,250.00
56	1	\$1,173.60
57	1	\$56,919.00
58	20	\$81,220.00
59	3	\$8,500.00
60	1	\$2,676.50
61	3	\$6,711.37
62	2	\$14,000.00
63	3	\$14,635.00
64	1	\$5,720.00
65	1	\$8,200.00
66	1	\$3,000.00
67	1	\$500.00
68	1	\$1,000.00
69	2	\$6,500.00
70	1	\$2,235.00
71	1	\$2,000.00
72	1	\$2,000.00
73	2	\$3,580.00
74	1	\$35,582.10
75	1	\$44,802.68
76	13	\$108,535.00
77	1	\$2,995.00
78	1	\$2,395.09
79	1	\$6,170.00

Table SR-14. FY 2023 CSF Payments

Attorney⁴³	Number of CSF Claims Paid	Total Amount Paid
80	1	\$3,000.00
81	2	\$91,000.00
82	4	\$94,238.89
83	6	\$30,864.98
84	3	\$84,808.00
85	1	\$10,255.00
86	2	\$24,194.44
87	2	\$12,512.00
88	1	\$4,880.04
89	1	\$8,700.00
90	2	\$12,000.00
91	2	\$6,618.00
92	33	\$140,130.00
93	1	\$2,500.00
94	1	\$11,500.00
95	5	\$14,610.00
96	1	\$13,513.17
97	1	\$3,400.00
98	1	\$5,145.00
99	1	\$500.00
100	3	\$5,250.00
101	1	\$1,799.00
102	2	\$1,500.00
103	1	\$14,568.06
104	2	\$30,000.00
105	3	\$7,335.00
106	2	\$4,001.00
107	3	\$12,000.00
108	3	\$126,000.00
109	1	\$2,500.00
110	4	\$39,078.01
111	1	\$2,000.00
112	1	\$6,700.00
113	1	\$17,500.00
114	1	\$2,240.00
115	2	\$19,350.00
116	1	\$5,550.00
117	1	\$3,000.00
118	1	\$53,333.34
119	7	\$245,200.95
120	4	\$86,875.00

Table SR-14. FY 2023 CSF Payments

Attorney⁴³	Number of CSF Claims Paid	Total Amount Paid
121	1	\$78,580.33
122	2	\$5,400.00
123	1	\$4,200.00
124	2	\$10,400.70
125	1	\$2,500.00
126	1	\$14,981.25
127	4	\$15,000.00
128	1	\$7,000.00
129	1	\$1,500.00
130	1	\$107,364.27
131	1	\$1,500.00
132	4	\$26,578.67
133	1	\$5,000.00
TOTAL	325	\$3,659,265.58

Table SR-14. FY 2022 CSF Payments

Attorney⁴⁴	Number of CSF Claims Paid	Total Amount Paid
1	1	\$7,500.00
2	1	\$1,000.00
3	3	\$7,585.00
4	1	\$1,956.00
5	1	\$3,500.00
6	6	\$154,632.67
7	1	\$2,500.00
8	2	\$22,666.68
9	1	\$2,000.00
10	2	\$32,995.00
11	1	\$41,550.00
12	1	\$5,000.00
13	1	\$3,800.00
14	1	\$5,740.00
15	1	\$1,500.00
16	1	\$100,000.00
17	1	\$2,000.00
18	1	\$10,000.00
19	2	\$70,247.42
20	1	\$5,075.00
21	1	\$18,111.21
22	1	\$4,000.00
23	1	\$100,000.00
24	3	\$17,407.64
25	1	\$10,000.00
26	1	\$13,666.67
27	22	\$1,589,287.60
28	1	\$7,000.00
29	3	\$43,058.55
30	1	\$3,095.00
31	4	\$2,750.00
32	12	\$41,399.87
33	29	\$903,389.60
34	2	\$6,000.00
35	1	\$6,000.00
36	1	\$3,000.00
37	1	\$750.00
38	1	\$17,326.75

⁴⁴ Attorney names are not provided, as CSF rules require confidentiality under certain circumstances.

Table SR-14. FY 2022 CSF Payments

Attorney⁴⁴	Number of CSF Claims Paid	Total Amount Paid
39	1	\$20,000.00
40	1	\$8,000.00
41	1	\$300,000.00
42	1	\$15,000.00
43	1	\$5,000.00
44	1	\$74,000.00
45	2	\$105,000.00
46	1	\$43,400.00
47	2	\$110,074.93
48	1	\$45,349.32
49	2	\$2,333.00
50	3	\$46,625.00
51	1	\$100,000.00
52	1	\$10,000.00
53	2	\$33,900.00
54	1	\$2,000.00
55	2	\$8,875.00
56	2	\$50,000.00
57	1	\$70,061.64
58	1	\$3,500.00
59	1	\$3,440.00
60	1	\$60,000.00
61	2	\$185,000.00
62	9	\$26,551.93
63	13	\$100,100.00
64	1	\$100,000.00
65	1	\$3,750.00
66	1	\$5,000.00
67	9	\$45,109.00
68	1	\$2,500.00
69	4	\$92,978.89
70	1	\$18,500.00
71	1	\$10,000.00
72	1	\$11,400.00
73	1	\$37,315.32
74	1	\$3,500.00
75	6	\$19,700.00
76	1	\$1,750.00
77	1	\$1,500.00
78	1	\$800.00
79	1	\$6,500.00

Table SR-14. FY 2022 CSF Payments

Attorney⁴⁴	Number of CSF Claims Paid	Total Amount Paid
80	1	\$26,666.67
81	3	\$68,997.14
82	1	\$10,310.29
83	8	\$15,860.00
84	1	\$10,000.00
85	2	\$107,235.32
86	1	\$2,193.33
87	1	\$933.33
88	1	\$4,000.00
89	5	\$237,178.86
90	3	\$24,350.00
91	1	\$3,500.00
92	1	\$109,179.15
93	1	\$5,000.00
94	2	\$19,760.00
95	1	\$2,144.00
96	2	\$52,000.00
97	10	\$112,344.90
98	1	\$2,500.00
99	2	\$4,150.00
100	1	\$12,500.00
101	1	\$1,900.00
102	1	\$500.00
103	1	\$1,475.00
104	3	\$25,712.52
105	2	\$6,235.00
106	1	\$1,500.00
107	1	\$6,200.91
TOTAL	261	\$5,930,331.11

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
1	1	\$20,000.00
2	1	\$71,833.69
3	2	\$6,240.00
4	1	\$10,000.00
5	2	\$9,504.95
6	3	\$13,777.69
7	1	\$300.00
8	1	\$5,950.00
9	4	\$42,333.34
10	1	\$1,500.00
11	2	\$3,000.00
12	1	\$5,000.00
13	3	\$10,750.00
14	1	\$2,500.00
15	2	\$12,000.00
16	1	\$10,000.00
17	4	\$10,322.50
18	2	\$25,000.00
19	9	\$28,700.00
20	3	\$8,300.00
21	2	\$129,566.91
22	2	\$105,000.00
23	1	\$6,000.00
24	1	\$10,400.00
25	1	\$3,500.00
26	1	\$6,000.00
27	1	\$5,000.00
28	1	\$3,200.00
29	1	\$14,350.00
30	1	\$4,207.99
31	1	\$13,420.00
32	2	\$3,300.00
33	1	\$3,000.00
34	1	\$1,000.00
35	8	\$70,700.00
36	1	\$20,000.00
37	1	\$10,000.00
38	1	\$4,000.00
39	1	\$1,020.00
40	2	\$105,000.00
41	1	\$87,629.60
42	1	\$8,750.00

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
43	8	\$85,706.88
44	5	\$30,034.75
45	1	\$8,400.00
46	1	\$8,500.00
47	4	\$99,390.61
48	1	\$10,000.00
49	1	\$4,192.50
50	1	\$6,790.00
51	1	\$4,000.00
52	1	\$3,795.00
53	1	\$26,810.00
54	1	\$5,000.00
55	7	\$156,954.75
56	2	\$105,000.00
57	1	\$10,000.00
58	1	\$2,500.00
59	1	\$10,000.00
60	2	\$6,525.00
61	1	\$3,000.00
62	1	\$3,700.00
63	1	\$13,500.00
64	4	\$15,900.00
65	11	\$62,150.00
66	1	\$5,000.00
67	30	\$115,037.55
68	1	\$5,113.00
69	1	\$18,000.00
70	1	\$10,000.00
71	14	\$438,414.18
72	1	\$1,920.00
73	2	\$7,000.00
74	2	\$7,500.00
75	4	\$9,495.00
76	1	\$100,000.00
77	2	\$18,200.00
78	1	\$14,721.00
79	6	\$53,840.00
80	1	\$18,950.00
81	3	\$200,500.00
82	1	\$14,700.00
83	1	\$1,850.00
84	1	\$87,500.00

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
85	4	\$45,500.00
86	1	\$3,226.13
87	1	\$10,000.00
88	1	\$2,000.00
89	3	\$15,200.00
90	1	\$18,423.43
91	1	\$3,500.00
92	1	\$3,750.00
93	1	\$1,500.00
94	1	\$6,500.00
95	3	\$115,401.96
96	1	\$15,450.00
97	1	\$2,180.00
98	2	\$25,166.00
99	1	\$100,000.00
100	2	\$97,658.17
101	1	\$4,000.00
102	1	\$2,500.00
103	1	\$12,000.00
104	1	\$1,685.00
105	1	\$2,500.00
106	1	\$100,000.00
107	1	\$50,000.00
108	2	\$76,000.00
109	2	\$12,000.00
110	1	\$2,900.00
111	1	\$1,739.56
112	3	\$88,744.00
113	1	\$10,000.00
114	14	\$304,614.68
115	2	\$68,232.88
116	2	\$100,000.00
117	1	\$7,000.00
118	3	\$10,210.00
119	1	\$1,200.00
120	1	\$1,740.00
121	1	\$29,000.00
122	1	\$1,500.00
123	1	\$6,010.00
124	7	\$673,497.02
125	1	\$105,000.00
126	1	\$1,500.00

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
127	4	\$287,850.00
128	1	\$3,500.00
129	1	\$100,000.00
130	1	\$3,000.00
131	1	\$2,420.00
132	1	\$2,000.00
133	1	\$2,400.00
134	2	\$57,800.00
135	1	\$2,400.00
136	8	\$245,675.84
137	1	\$73,014.71
138	3	\$9,000.00
139	15	\$114,275.00
140	2	\$6,413.53
141	4	\$15,675.00
142	1	\$7,500.00
143	3	\$26,267.00
144	2	\$4,375.00
145	2	\$9,509.00
146	2	\$178,847.62
147	3	\$3,464.00
148	1	\$5,025.00
149	1	\$8,721.67
150	2	\$3,090.00
151	1	\$6,435.00
152	28	\$142,966.54
153	2	\$7,000.00
154	1	\$2,000.00
155	4	\$23,300.00
156	1	\$2,500.00
157	1	\$950.00
158	1	\$7,749.95
159	1	\$25,000.00
160	1	\$16,666.67
161	1	\$1,500.00
162	2	\$15,800.00
163	1	\$1,500.00
164	3	\$29,735.46
165	1	\$500.00
166	1	\$86,494.58
167	3	\$4,750.00
168	20	\$37,815.00

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
169	1	\$10,000.00
170	1	\$8,000.00
171	2	\$4,231.50
172	13	\$74,142.74
173	1	\$15,000.00
174	1	\$6,000.00
175	3	\$53,750.00
176	1	\$3,325.00
177	2	\$82,325.00
178	1	\$2,000.00
179	1	\$30,000.00
180	1	\$11,000.00
181	1	\$14,327.70
182	1	\$5,000.00
183	1	\$2,435.00
184	1	\$3,480.00
185	2	\$13,736.81
186	1	\$10,000.00
187	2	\$16,010.33
188	1	\$2,000.00
189	2	\$5,000.00
190	2	\$95,652.68
191	1	\$1,500.00
192	31	\$258,050.00
193	5	\$18,300.00
194	1	\$4,500.00
195	1	\$3,500.00
196	1	\$3,000.00
197	2	\$17,354.49
198	1	\$8,082.76
199	3	\$3,080.00
200	1	\$1,500.00
201	1	\$900.00
202	5	\$16,350.00
203	7	\$88,364.94
204	1	\$100,000.00
205	1	\$17,260.49
206	4	\$8,000.00
207	1	\$2,500.00
208	3	\$84,079.03
209	1	\$1,800.00
210	2	\$1,250.00

Table SR-14. FY 2021 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
211	1	\$72,400.00
212	1	\$14,975.00
213	1	\$860.00
214	1	\$1,200.00
215	1	\$7,500.00
216	1	\$25,000.00
217	9	\$131,273.00
218	1	\$1,000.00
219	1	\$15,000.00
220	1	\$30,000.00
221	1	\$5,000.00
222	1	\$4,500.00
223	2	\$5,200.00
224	1	\$21,366.03
225	1	\$7,500.00
226	1	\$2,025.00
227	2	\$178,547.80
TOTAL	566	\$8,075,697.59

Table SR-14. FY 2020 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
1	1	\$4,509.00
2	1	\$2,350.20
3	1	\$3,000.00
4	7	\$120,144.94
5	1	\$325.00
6	1	\$2,494.00
7	1	\$1,500.00
8	2	\$11,087.50
9	5	\$22,400.00
10	2	\$23,210.00
11	1	\$3,000.00
12	1	\$1,500.00
13	3	\$8,650.00
14	1	\$116,000.00
15	1	\$100,000.00
16	1	\$6,505.00
17	1	\$12,600.00
18	1	\$2,040.66
19	1	\$2,500.00
20	1	\$3,000.00
21	1	\$3,500.00
22	2	\$2,200.00
23	1	\$5,100.00
24	7	\$24,960.00
25	38	\$255,948.00
26	1	\$2,500.00
27	1	\$6,200.00
28	2	\$17,600.00
29	24	\$57,196.03
30	1	\$6,035.00
31	1	\$6,500.00
32	1	\$1,000.00
33	1	\$100,000.00
34	1	\$20,700.00
35	1	\$5,000.00
36	1	\$12,500.00
37	45	\$294,543.66
38	1	\$15,166.20
39	5	\$80,613.05
40	13	\$32,263.01

Table SR-14. FY 2020 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
41	1	\$900.00
42	1	\$5,563.00
43	1	\$11,000.00
44	3	\$23,500.00
45	3	\$63,460.35
46	1	\$600.00
47	3	\$7,000.00
48	1	\$6,000.00
49	9	\$165,070.04
50	2	\$8,556.00
51	1	\$1,995.00
52	1	\$2,412.00
53	6	\$65,455.00
54	6	\$42,579.55
55	1	\$4,000.00
56	1	\$4,762.00
57	3	\$9,000.00
58	1	\$7,000.00
59	1	\$20,000.00
60	1	\$4,000.00
61	5	\$147,818.96
62	1	\$6,000.00
63	37	\$144,684.63
64	14	\$36,000.00
65	1	\$5,000.00
66	1	\$7,400.00
67	1	\$7,000.00
68	4	\$7,500.00
69	1	\$12,500.00
70	1	\$4,333.00
71	1	\$16,000.00
72	1	\$3,500.00
73	2	\$1,885.00
74	1	\$10,000.00
75	1	\$2,700.00
76	1	\$200,000.00
77	1	\$2,500.00
78	1	\$4,500.00
79	1	\$1,366.67
80	1	\$3,600.00
81	1	\$16,000.00
82	2	\$3,500.00

Table SR-14. FY 2020 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
83	5	\$115,867.88
84	1	\$12,020.00
85	10	\$44,235.00
86	1	\$20,960.00
87	4	\$35,227.01
88	2	\$25,360.00
89	3	\$10,886.58
90	1	\$16,500.00
91	75	\$2,491,442.90
92	12	\$575,505.00
93	1	\$9,333.28
94	1	\$12,134.90
95	1	\$64,000.00
96	6	\$21,655.00
97	1	\$2,000.00
98	2	\$18,000.00
99	1	\$2,650.00
100	1	\$9,475.00
101	1	\$75,502.00
102	3	\$8,561.00
103	1	\$30,000.00
104	12	\$53,700.00
105	3	\$11,200.00
106	1	\$41,125.00
107	1	\$4,000.00
108	1	\$5,000.00
109	1	\$900.00
110	3	\$4,386.00
111	1	\$1,500.00
112	1	\$2,500.00
113	5	\$46,955.46
114	1	\$3,500.00
115	1	\$10,000.00
116	1	\$2,834.00
117	1	\$3,495.00
118	1	\$15,166.20
119	2	\$5,781.00
120	1	\$7,500.00
121	1	\$2,000.00
122	1	\$10,000.00
123	1	\$1,100.00
124	1	\$8,500.00

Table SR-14. FY 2020 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
125	1	\$6,200.00
126	1	\$5,901.42
127	1	\$100,000.00
128	1	\$1,700.00
129	1	\$10,000.00
130	1	\$2,500.00
131	8	\$24,977.86
132	2	\$2,400.00
133	1	\$12,000.00
134	1	\$8,970.00
135	9	\$74,908.00
136	1	\$2,895.00
137	1	\$2,995.00
138	1	\$16,850.00
139	1	\$18,712.67
140	1	\$1,396.00
141	1	\$3,500.00
142	1	\$4,259.75
143	1	\$36,632.00
144	4	\$47,866.67
145	1	\$2,935.00
146	1	\$5,200.00
147	1	\$21,007.14
148	1	\$214.61
149	1	\$2,500.00
150	1	\$10,800.00
151	3	\$73,500.06
152	1	\$510.00
153	5	\$24,450.00
154	1	\$13,430.00
155	1	\$100,000.00
156	1	\$4,300.00
157	2	\$90,000.00
158	1	\$100,000.00
159	1	\$500.00
160	1	\$700.00
161	1	\$1,700.00
162	1	\$3,000.00
163	1	\$1,000.00
164	2	\$49,774.00
165	1	\$14,500.00
166	3	\$12,500.00

Table SR-14. FY 2020 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
167	2	\$4,027.20
168	3	\$8,750.00
169	2	\$12,445.00
170	1	\$56,936.00
171	1	\$4,000.00
172	28	\$264,989.48
173	1	\$1,000.00
174	2	\$4,149.00
175	1	\$4,000.00
176	1	\$5,000.00
177	2	\$10,000.00
178	1	\$3,000.00
179	42	\$567,792.22
180	1	\$10,000.00
181	1	\$2,500.00
182	1	\$4,400.00
183	3	\$6,920.00
184	1	\$1,106.00
185	1	\$10,000.00
186	1	\$6,944.77
TOTAL	650	\$8,240,585.51

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
1	1	\$18,450.00
2	1	\$8,625.00
3	1	\$600.00
4	1	\$4,040.00
5	20	\$82,310.79
6	1	\$22,750.00
7	1	\$3,495.00
8	1	\$1,920.00
9	1	\$5,000.00
10	1	\$3,245.00
11	1	\$5,000.00
12	9	\$148,594.17
13	2	\$12,550.00
14	6	\$25,000.00
15	3	\$41,500.00
16	1	\$85,000.00
17	1	\$2,500.00
18	48	\$201,904.99
19	1	\$30,000.00
20	2	\$13,100.00
21	3	\$56,000.00
22	1	\$5,000.00
23	1	\$2,800.00
24	1	\$39,613.34
25	20	\$68,900.00
26	1	\$5,000.00
27	4	\$20,700.00
28	2	\$2,185.00
29	2	\$5,000.00
30	1	\$41,180.00
31	2	\$25,600.00
32	1	\$1,045.00
33	1	\$3,200.00
34	1	\$6,000.00
35	2	\$44,980.99
36	1	\$5,660.00
37	1	\$5,000.00
38	1	\$183,500.00
39	1	\$75,951.00
40	1	\$900.00
41	3	\$10,000.00
42	12	\$78,120.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
43	1	\$1,995.00
44	9	\$52,317.32
45	3	\$54,025.00
46	122	\$274,440.59
47	1	\$3,975.00
48	1	\$46,930.00
49	1	\$3,000.00
50	22	\$161,818.96
51	2	\$14,500.00
52	7	\$82,500.00
53	2	\$10,000.00
54	9	\$26,400.90
55	1	\$2,685.00
56	1	\$625.00
57	1	\$7,000.00
58	1	\$2,995.00
59	3	\$72,150.00
60	1	\$10,000.00
61	1	\$66,666.66
62	1	\$4,221.18
63	1	\$3,300.00
64	1	\$10,000.00
65	1	\$2,500.00
66	3	\$77,269.83
67	1	\$40,080.00
68	21	\$71,460.50
69	1	\$9,301.00
70	1	\$5,400.00
71	1	\$1,500.00
72	2	\$110,000.00
73	2	\$9,175.00
74	1	\$200,000.00
75	1	\$50,000.00
76	1	\$2,000.00
77	1	\$4,500.00
78	2	\$34,048.00
79	6	\$17,480.00
80	1	\$30,000.00
81	2	\$50,018.15
82	1	\$1,200.00
83	2	\$47,329.00
84	1	\$3,000.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
85	1	\$17,922.70
86	4	\$86,286.00
87	1	\$500.00
88	1	\$4,250.00
89	1	\$3,250.00
90	3	\$101,243.93
91	1	\$3,333.34
92	1	\$7,195.00
93	1	\$1,000.00
94	1	\$68,854.42
95	1	\$1,500.00
96	2	\$21,668.00
97	1	\$6,000.00
98	1	\$4,000.00
99	1	\$1,500.00
100	2	\$15,947.31
101	1	\$2,000.00
102	1	\$24,500.00
103	1	\$16,000.00
104	1	\$1,000.00
105	2	\$38,000.00
106	3	\$146,763.32
107	1	\$2,000.00
108	3	\$2,845.00
109	1	\$3,300.00
110	3	\$11,050.00
111	2	\$15,835.00
112	1	\$60,000.00
113	1	\$32,500.00
114	4	\$18,757.00
115	12	\$547,394.01
116	1	\$24,000.00
117	1	\$3,000.00
118	1	\$3,000.00
119	1	\$15,000.00
120	5	\$14,500.00
121	1	\$1,500.00
122	39	\$244,335.00
123	2	\$17,900.00
124	1	\$2,000.00
125	5	\$12,922.50
126	1	\$2,000.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
127	1	\$9,666.34
128	1	\$3,393.02
129	1	\$3,500.00
130	1	\$12,000.00
131	2	\$9,076.50
132	2	\$12,749.81
133	1	\$60,000.00
134	1	\$100,000.00
135	4	\$33,323.32
136	1	\$2,000.00
137	3	\$10,000.00
138	14	\$76,935.00
139	2	\$7,991.50
140	2	\$157,307.00
141	6	\$20,335.00
142	1	\$3,000.00
143	11	\$301,864.43
144	4	\$80,813.00
145	1	\$300.00
146	6	\$21,000.00
147	2	\$3,100.00
148	2	\$2,000.00
149	6	\$20,356.00
150	1	\$1,585.00
151	1	\$1,800.00
152	1	\$15,568.00
153	1	\$40,834.36
154	3	\$4,500.00
155	1	\$4,250.00
156	1	\$15,000.00
157	1	\$2,774.00
158	4	\$66,666.68
159	1	\$15,000.00
160	16	\$56,313.47
161	1	\$100,000.00
162	2	\$62,651.55
163	1	\$100,000.00
164	2	\$4,300.00
165	2	\$2,500.00
166	1	\$1,750.00
167	1	\$1,200.00
168	4	\$8,000.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
169	8	\$20,440.54
170	1	\$37,403.94
171	1	\$8,370.00
172	1	\$3,400.00
173	1	\$15,000.00
174	1	\$950.00
175	4	\$15,995.00
176	1	\$1,000.00
177	1	\$5,000.00
178	1	\$3,825.00
179	29	\$209,755.60
180	1	\$74,900.00
181	1	\$1,977.50
182	1	\$21,000.00
183	1	\$75,855.84
184	6	\$21,210.00
185	2	\$32,050.00
186	1	\$2,700.00
187	1	\$10,000.00
188	1	\$3,500.00
189	1	\$600.00
190	1	\$67,065.75
191	2	\$22,500.00
192	3	\$49,300.00
193	1	\$3,591.52
194	1	\$1,950.00
195	1	\$15,000.00
196	1	\$50,000.00
197	1	\$100,000.00
198	2	\$11,952.63
199	1	\$10,000.00
200	1	\$5,031.00
201	1	\$2,440.00
202	1	\$3,750.00
203	1	\$6,500.00
204	2	\$5,102.00
205	1	\$4,000.00
206	1	\$5,000.00
207	2	\$12,045.00
208	3	\$95,480.00
209	4	\$68,423.98
210	4	\$18,000.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
211	1	\$5,000.00
212	3	\$5,200.00
213	3	\$5,706.00
214	1	\$2,850.00
215	4	\$5,640.00
216	2	\$9,895.00
217	1	\$12,000.00
218	2	\$52,000.00
219	2	\$10,400.00
220	1	\$4,466.00
221	1	\$2,333.33
222	1	\$2,495.00
223	1	\$4,965.55
224	4	\$12,350.00
225	4	\$10,100.00
226	1	\$1,500.00
227	3	\$9,395.00
228	7	\$16,150.00
229	5	\$8,735.00
230	3	\$37,418.41
231	3	\$82,600.00
232	1	\$10,500.00
233	1	\$1,500.00
234	1	\$22,750.00
235	1	\$100,000.00
236	1	\$2,880.00
237	5	\$20,000.00
238	1	\$3,000.00
239	5	\$58,151.00
240	13	\$38,705.00
241	1	\$5,000.00
242	2	\$30,800.00
243	56	\$564,850.27
244	2	\$6,500.00
245	2	\$5,150.00
246	8	\$25,000.00
247	2	\$18,186.04
248	1	\$1,635.00
249	1	\$2,000.00
250	1	\$650.00
251	1	\$2,000.00
252	2	\$6,200.00

Table SR-14. FY 2019 CSF Payments

Attorney	Number of CSF Claims Paid	Total Amount Paid
253	1	\$6,500.00
254	2	\$950.00
255	1	\$500.00
256	2	\$6,000.00
257	1	\$3,500.00
258	1	\$7,500.00
259	2	\$5,985.00
260	1	\$137,375.16
261	4	\$20,500.00
262	2	\$26,500.00
263	2	\$9,575.00
264	1	\$3,500.00
265	1	\$5,000.00
266	1	\$12,766.50
267	1	\$74,100.00
268	3	\$140,823.00
269	1	\$2,500.00
270	1	\$3,500.00
271	2	\$4,000.00
272	1	\$20,000.00
TOTAL	940	\$8,981,480.44

Cost of the Discipline System

Section 6086.15, subdivision (a)(11) An accounting of the cost of the discipline system by function.

Table SR-15 reflects the cost of programs included in the Supreme Court’s November 2016 order approving an interim special regulatory assessment, which authorized the State Bar to assess 2017 attorney licensing fees for discipline-related functions.⁴⁵

Table SR-15 Cost of the Discipline System	FY 2019	FY 2020	FY 2021
CTC	\$47,283,614	\$56,816,480	\$59,527,958
Probation	\$1,491,859	\$1,847,959	\$1,821,285
Mandatory Fee Arbitration	\$78,487	\$68,541	\$33,436
SBC	\$12,015,144	\$13,541,255	\$13,696,960
Professional Competence	\$2,647,774	\$2,919,868	\$2,861,989
Attorney Regulation and Consumer Resources	\$5,177,381	\$5,577,749	\$5,644,334
Communications (70%) ⁴⁶	\$521,774	\$699,547	\$719,692
Licensee Billing	\$380,888	\$385,415	\$758,501
General Counsel	\$2,811,561	\$3,120,330	\$4,356,820
Total	\$72,408,483	\$84,977,144	\$89,420,974

Table SR-15 Cost of the Discipline System (cont.)	FY 2022	FY 2023	FY 2024
CTC	\$61,171,413	\$68,512,101	\$77,093,650
Probation	\$1,821,057	\$1,990,858	\$2,244,156
Mandatory Fee Arbitration	\$30,822	\$662,423	\$1,071,667
SBC	\$13,908,598	\$14,555,248	\$16,343,181
Professional Competence	\$3,488,214	\$4,030,422	\$4,066,876
Attorney Regulation and Consumer Resources	\$6,362,273	\$6,624,249	\$6,502,243
Communications (70%)	\$752,488	\$1,219,897	\$1,461,732
Licensee Billing	\$816,752	\$706,678	\$536,340
General Counsel	\$4,111,875	\$6,005,916	\$6,520,012
Total	\$92,463,493	\$104,307,73	\$115,839,88

⁴⁵ The Court’s order included funding for activities of the California Young Lawyers Association (CYLA) related to the discipline system. The CYLA is no longer a part of the State Bar, so those costs are not included in table SR-15.

⁴⁶ This percent reflects the portion of Office of Communications resources devoted to its principal roles, which are to help Californians understand how to access the resources of the discipline system and to ensure that attorneys understand their professional ethical obligations.

APPENDIX A: GLOSSARY OF ATTORNEY DISCIPLINE REPORT TERMINOLOGY

The State Bar Act (Section 6000 et seq.) and Rules of Procedure adopted by the State Bar Board of Trustees to govern proceedings in the SBC include definitions of many technical terms used in the State Bar’s discipline system. Definitions of some of those key terms, as well as definitions of data elements used in this report, are presented here.

Case: An individual complaint, Office of Case Management and Supervision (Probation) referral, State Bar-initiated inquiry, reportable action, motion to enforce fee arbitration, motion to revoke probation, motion to terminate practice, or motion to impose interim suspension or license restrictions (petitions pursuant to section 6007).

Case Initiation Date:

- For **complaints**: the date on which the written complaint is received in OCTC’s Intake Unit.⁴⁷
- For **probation referrals**: the date on which the referral is received in OCTC.
- For **State Bar-initiated inquiries**: the date on which the inquiry is received in OCTC’s Intake Unit.
- For **reportable actions**: the date on which the report is received in OCTC’s Intake Unit.
- For **motions to enforce fee arbitration**: the date on which the Mandatory Fee Arbitration Program files the motion in SBC.
- For **motions to revoke probation**: the date on which probation files the motion in SBC.
- For **petitions to terminate practice**:⁴⁸ the date on which the case is opened in OCTC’s Intake Unit.
- For **petitions to impose interim suspension or license restrictions pursuant to section 6007**: the date on which the case is opened in OCTC’s Intake Unit.

Complaint: A written complaint submitted by a complaining witness to OCTC.

- A single written complaint that lists multiple respondents is counted as a separate complaint against each respondent.
- A single written complaint signed by multiple complaining witnesses (e.g., a married couple) against a respondent is counted as one complaint.
- Independently submitted written complaints against a single respondent are counted separately.

⁴⁷ Complaints received after 4:30 p.m. or on non-business days are deemed received on the next business day.

⁴⁸ While section 6086.15 directs the State Bar to report on “motions to terminate practice,” the State Bar refers to these as “motions to assume jurisdiction pursuant to section 6180 or 6190 (for attorneys) or 6126.3 (for nonattorneys).”

Court Closing Date:

- For **cases filed in SBC**: the date the court records as the closing date of the case.
- For **initial 6180/6190/6126.3 petitions filed in Superior Court resulting in denial or dismissal of OCTC’s petition**: the date on which OCTC closes the case.
- For **initial 6180/6190/6126.3 petitions filed in Superior Court resulting in Superior Court jurisdiction (i.e., granting the petition)**: the case remains open until OCTC closes the case following the Superior Court granting a petition to terminate Superior Court jurisdiction.⁴⁹

Demographics: In 2019, the State Bar launched the Attorney Census data collection effort to support the five-year strategic plan’s commitment to diversity, equity, and inclusion in the legal profession. This voluntary annual survey collects demographic data including race/ethnicity and gender. The question on race/ethnicity provides the following options, with multiple selections allowed:

- Hispanic/Latino
- Asian
- White
- Black or African American
- Middle Eastern or North African
- American Indian or Alaska Native
- Native Hawaiian or Other Pacific Islander
- Other race, ethnicity, or origin (please specify): _____

The gender question provides the following options, again with multiple selections allowed:

- Female
- Male
- Gender Variant/Nonconforming/Nonbinary
- Two Spirit
- Not listed (please specify): _____

For reporting purposes, the race/ethnicity of disciplined attorneys was limited to the largest groups with the remaining categories consolidated into a single category (“Other”). Similarly, the latter three gender categories were consolidated. The final set of reporting categories are as follows:

⁴⁹ This may occur many months or years after the initial assumption of jurisdiction petition is granted.

Race/ethnicity

- Hispanic/Latino
- Asian
- White
- Black or African American
- Other

Gender

- Women
- Men
- Other

Given the voluntary nature of the survey, an “Unknown” category is included to capture those who did not provide the information, or in rare instances, provided information that cannot be appropriately categorized.

The Attorney Census serves as primary data source for the analyses of discipline attorneys. State Bar exam takers are also asked to provide demographic information when they apply to take the exam. This data source is available for admitted attorneys since the late 1980s. The final dataset used for the demographic reporting was derived from consolidating these two data sources, with remapping and regrouping some answer options that have changed over the years. In this consolidated dataset, approximately 5 percent of active attorneys have missing data on race/ethnicity or gender.

Dispositions (OCTC):

- **Closed with Nondisciplinary Action:** Closed with a warning letter, directional letter, resource letter, diversion, or agreement in lieu of discipline.
- **Closed with Referral:** Closed upon referral to other processes or agencies, including mandatory fee arbitration, law enforcement,⁵⁰ and alternative dispute resolution.
- **Filed in SBC:** Formal filing, including Notice of Disciplinary Charges, Stipulation to Facts and Discipline, transmittal of a criminal conviction case, or petition pursuant to section 6007.
- **Filed in Superior Court:** Petition pursuant to section 6180, 6190, or 6126.3 filed in Superior Court.
- **Closed with No Action:** Closed by OCTC with no further action.

Dispositions (SBC):

- **For complaints, State Bar inquiries, probation referrals, and reportable actions:**
 - **Discipline Imposed:** Disbarment, suspension, probation, reproof, revocation of probation, or extension of probation.⁵¹

⁵⁰ A referral to a law enforcement agency is not, by itself, a reason for closing a case; this disposition captures the number of closed cases that included a referral to a law enforcement agency.

⁵¹ A case is disposed with “Discipline Imposed” only after a final order of the California Supreme Court imposing discipline becomes effective, or when the State Bar Court issues a reproof.

- **Closed with Nondisciplinary Action:** Admonition or the granting of a petition pursuant to section 6007.
- **Closed with No Action:** Closed by the Court with dismissal, termination, or denial of petition.

Dispositions (Superior Court):

- **Petition Granted:** Initial petition for assumption of jurisdiction pursuant to section 6180, 6190, or 6126.3 is granted by a Superior Court.⁵²
- **Petition Denied/Dismissed:** Closed upon denial or dismissal by the court of an initial petition to assume jurisdiction over a practice pursuant to section 6180, section 6190, or section 6126.3.

Diversion Program: An alternative to the standard discipline process for respondents (a) whose disciplinary complaint histories demonstrate that they do not pose a significant risk of future harm to their clients or the public, and (b) whose current alleged misconduct is relatively minor and stems from issues potentially subject to correction through education or other rehabilitative measures. It generally excludes repeat offenders and those whose offenses have caused significant harm to clients, the public, or the administration of justice.

Initial Filing Date: The date on which a case is formally filed in SBC or Superior Court by OCTC, Probation, or the Mandatory Fee Arbitration Program.

Major Case: A case involving significant publicity, or the likelihood of significant publicity, or involving issues of special interest to the public, the legal profession, or the State Bar. Major cases include:

- Matters involving allegations of significant harm or potential harm, to clients or others, likely to generate publicity or be of special interest to the public.
- Allegations that a prosecutor has engaged in misconduct in the course of a criminal prosecution, if a court or government office (such as the U.S. Department of Justice’s Office of Professional Responsibility or a district attorney’s office) has found such misconduct to have occurred, whether or not the prosecutorial misconduct resulted in harm.
- Matters that have already generated significant publicity, for example, matters that have been the subject of legislative inquiries or extensive media reports.
- Matters with the potential to generate significant criticism of the State Bar if there is disagreement with the outcome of the investigation. Such matters may include allegations of repeated or serious misconduct where multiple earlier complaints alleging similar misconduct did not result in action by the State Bar.

⁵² This is treated as the disposition of the case for purposes of the ADR. However, the case technically remains open until the seized practice is fully resolved, which often takes years.

- A case referred to the State Bar by the Supreme Court or the Commission on Judicial Performance.
- A case involving a complaint submitted by an elected or appointed public official, judge, or well-known public figure.
- A case involving allegations of misconduct by an elected or appointed public official or well-known public figure.
 - This category includes allegations of misconduct of any type by elected or appointed District Attorneys, City Attorneys, County Counsel, Attorneys General, United States Attorneys, or Public Defenders, who are considered public officials, or high-ranking supervisors or managers within their offices.
 - This category also includes allegations that a prosecutor has engaged in misconduct in the course of a criminal prosecution, even in the absence of a finding by a court or government office (such as the U.S. Department of Justice's Office of Professional Responsibility) that such misconduct has occurred.
- A case involving investigation of a respondent who has been reinstated to the practice of law following disbarment or resignation with disciplinary charges pending.

Motion to Enforce Result of Fee Arbitration: A motion filed in SBC by the State Bar's Mandatory Fee Arbitration Program to enforce the outcome of a binding fee arbitration.⁵³

Motion to Revoke Probation: A motion filed by Probation in SBC to revoke probation of a licensed attorney under probation supervision.⁵⁴

Pendency in SBC: Number of days from the Case Initiation Date to the Court Closing Date.⁵⁵

Pendency in Superior Court: Number of days from the Case Initiation Date until the date the Superior Court ruled to either grant or deny the initial petition to assume jurisdiction over a practice pursuant to section 6180, 6190, or 6126.3.

Pendency: Number of days between the Case Initiation Date and a specified milestone. Note that Pendency is always calculated from the original Case Initiation Date, regardless of whether the case has been closed and reopened.

- **Pendency at Year End in OCTC:** For cases pending in OCTC at year end, the number of days between the Case Initiation Date and period end.

⁵³ OCTC plays no role in these proceedings.

⁵⁴ OCTC plays no role in these proceedings.

⁵⁵ Includes any appellate review and time taken to receive the final order from the Supreme Court, as well as any time during which proceedings are abated while a respondent is participating in the Alternative Discipline Program (ADP), which provides monitored support for attorneys receiving substance abuse or mental health treatment who have stipulated to certain facts, conclusions of law, and the level of discipline to be imposed in SBC, prior to entering the ADP.

- **Pendency at Year End in SBC:** For cases Pending in SBC at year end, the number of days between the Case Initiation Date and period end.
- **Pendency at OCTC Case Disposition:** The number of days between the Case Initiation Date and the date the case was either closed or filed in SBC.
- **Pendency at Closure:** For cases closed during a particular year, the number of days between the Case Initiation Date and the date the case was closed.

Petition to Impose Interim Suspension or License Restrictions: A petition filed by OCTC in SBC pursuant to section 6007.

Petition to Terminate Practice: A petition filed by OCTC in Superior Court to close down and assume responsibility for the practice of an attorney, former attorney, or nonattorney pursuant to section 6180, 6190, or 6126.3

Probation Referral: Notification from Probation to OCTC of the failure of an attorney under probation supervision to comply with the terms of probation.

Reportable Action: A report of an event statutorily mandated to be reported to the State Bar.

- **Self-Reported:** Reports received from licensed attorneys regarding themselves pursuant to section 6068, subdivision (o) and section 6086.8, subdivision (c).
- **Other-Reported:** Reports received from specified mandated reporters pursuant to sections 6086.7, 6086.8, (a) and (b), 6091.1, 6101, (b), and 6175.6.

State Bar-Initiated Inquiry: An inquiry into possible misconduct of an attorney initiated by OCTC based on information other than a written complaint, probation referral, or reportable action.

Suspended Matters: Matters that are abated by OCTC during investigation or by the SBC after filing. This action is usually taken where there are other investigations or cases pending against a respondent and prosecution of those other complaints is likely to result in disbarment of the lawyer.

UPL: Active State Bar license status is a requirement for practicing law in California. State Bar Rules, as well as state law, provide authority to investigate UPL, seek civil penalties, assume jurisdiction over the practice, and refer violations to law enforcement authority. These activities may be directed toward attorneys licensed in other states but not in California; suspended, disbarred, or otherwise inactive or formerly licensed California attorneys; and those who have never been licensed to practice law.

APPENDIX B: DIVERSION PROGRAM

In October 2023, OCTC launched a pilot of a formal diversion program that allows eligible respondents to receive a nondisciplinary resolution at the intake, investigation, or charging stage, with differing criteria depending on the stage of the discipline process at which OCTC offers the diversion option. Diversion furthers public protection by providing specific deterrence of similar misconduct by the same attorney, through education, direction, warning, or the imposition of conditions on the attorney's action for a specified period of time. In these same ways, diversion assists in maintaining attorney professional standards and rehabilitating the particular attorney. Diversion offers a faster path to case resolution that may require less OCTC staff time and resources, enabling those resources to be dedicated to matters posing greater risk of harm.

The diversion program targets respondents (a) whose disciplinary and complaint histories demonstrate that they do not pose a significant risk of future harm to their clients or the public; and (b) whose current alleged misconduct is relatively minor and stems from issues potentially subject to correction through education or other rehabilitative measures. It generally excludes repeat offenders and those whose offenses have caused significant harm to clients, the public, or the administration of justice.

Eligibility for diversion is assessed during intake and investigation, with differing criteria that take into account the extent to which the conduct has been investigated, the nature of the current alleged misconduct, and the respondent's prior complaint and discipline history. If a matter is determined eligible for diversion, a discretionary determination is made whether diversion will sufficiently serve the purposes of discipline and is appropriate based on consideration of all circumstances. If diversion is determined appropriate, it may be implemented through either a diversion agreement or a diversion warning letter, depending on the particular circumstances, including the results of the investigation and the nature of the alleged underlying misconduct.

In most instances, eligible respondents receive diversion offer letters specifying the conditions and explaining the consequences of declining or failing to satisfy them. Respondents may accept or reject the diversion offer. Acceptance does not require an admission of culpability. To accept, they sign a diversion agreement requiring compliance with specified conditions within set time frames with the understanding that successful completion of diversion will result in the matter being closed. As shown in table B, in FY 2024, diversion agreements were offered in 303 cases. Of these, 227 cases (75 percent) accepted the diversion offer, 73 cases (24 percent) rejected it, and 3 were pending a response.

In addition to diversion agreements, 169 cases were diverted through diversion warning letters conditioning closure only on the respondent not being subject to a new disciplinary complaint

that advances to the charging stage before the diversion term is completed. These warning letters do not require an admission of culpability or the respondent’s agreement.

Once diversion is initiated—either through an agreement or a warning letter—the complaint is closed conditionally pending completion of the specified conditions; if those conditions are successfully completed, the complaint is finally closed. In FY 2024, there were 388 conditional closures: 220 through diversion agreements and 168 via warning letters. Failure to complete the specified conditions results in the complaint being reopened for further investigation and possible charges. As of the end of FY 2024, only one attorney participating in the diversion program had failed to meet its conditions.

The monitoring period after conditional closure typically lasts 270 days, though this period may vary depending on the particular circumstances. Monitoring ensures that the respondent complies with the diversion conditions and reporting requirements within the specified time frame. Since the program is new, only four cases have reached final closure so far. As the program matures, the annual number of final closures is expected to increase.

Table B. OCTC Diversion Program Cases

Summary: Diversion Cases*	FY 2024
Diversion Offered	303
Diversion Rejected	73
Diversion Accepted	227
Diversion Warning Letter	169
Diversion Conditional Closure	388
Diversion Agreement	220
Diversion Warning Letter	168
Diversion Failed	1
Diversion Agreement	1
Diversion Warning Letter	0
Diversion Completed – Final Closure	4
Diversion Agreement	3
Diversion Warning Letter	1

**Program implemented on October 23, 2023.*

APPENDIX C: POTENTIAL CONFLICTS OF INTEREST: RULE 2201

The purpose of State Bar Rule of Procedure 2201 is to ensure impartiality in disciplinary decision-making and avoid bias or the appearance of bias. Rule of Procedure 2201 requires the recusal of the OCTC in any case involving individuals with close ties to the State Bar. Pursuant to Rule 2201, complaints against attorneys who are identified as falling into a Rule 2201 category requiring OCTC’s recusal are referred to a Special Deputy Trial Counsel (SDTC) Administrator, who conducts a preliminary review to determine whether to close the matter or appoint an SDTC to investigate the matter further. The rule allows the Administrator and SDTC to be compensated for services rendered and for reimbursement of costs and expenses in all Rule 2201 matters. Table C provides information about cases referred to the SDTC Administrator under Rule 2201.

Table C. Complaints Subject to Rule 2201	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Closed Without Investigation	157	84	136	65	147	148
Closed After Investigation	39	41	76	27	62	47
Pending Assignment to SDTC	19	2	5	24	3	6
Pending in Investigation	67	103	55	53	49	53
Total	282	230	272	169	261	254

APPENDIX D: CALIFORNIA'S ATTORNEY DISCIPLINE SYSTEM

In California, an attorney is licensed when admitted to the State Bar; only attorneys with active status may practice law. The State Bar is a constitutional agency established in the judicial branch. In administering the requirements for admission and discipline of California lawyers, the State Bar is an administrative arm of the California Supreme Court. Under its inherent judicial power to regulate admission and discipline, it is the Supreme Court that admits, disbars, or suspends a lawyer from the practice of law.

In California's attorney discipline system, communication and information concerning alleged misconduct of California lawyers is handled by the State Bar's OCTC. OCTC investigates complaints involving allegations of professional misconduct and may initiate and prosecute disciplinary proceedings in SBC (Court). The Hearing Department of the Court conducts evidentiary hearings and renders decisions with findings and recommendations of discipline that are reviewable by the Court's Review Department. In cases where the Court recommends the suspension or disbarment of a lawyer, the Supreme Court undertakes an independent determination of the discipline to be imposed. Discipline occurs with a final decision and order of the Supreme Court.⁵⁶ Following is a more detailed description of the attorney discipline process.

INTAKE

The disciplinary process typically begins with receipt of a written complaint in OCTC. Intake staff in OCTC receive and review complaints that allege ethical misconduct by an attorney or the UPL by a nonattorney. OCTC conducts the initial review of a complaint to determine whether to close it or forward it for investigation. If a complaint sufficiently alleges misconduct, OCTC assigns it for investigation. If it does not, OCTC closes the complaint.

Some complaints lack sufficient detail to allow OCTC to make an informed decision at the outset as to whether or not to assign a case for investigation. In these cases, OCTC will seek additional information to determine the next steps. This information gathering may involve contacting the complainant, reviewing court records, searching the internet, or conducting legal research. For example, in evaluating an allegation of failing to perform competently, if it is unclear whether an attorney-client relationship exists, OCTC will contact the complainant to try to secure a fee agreement or other evidence of such a relationship. If a complaint involves a violation of a court order, OCTC will attempt to obtain a copy of the order if it is not included with the complaint. If a complaint alleges failure to return an unearned fee, OCTC may request billing statements or an accounting to determine whether there is a plausible claim of misconduct.

⁵⁶ Public and private reprimands are also considered formal discipline; issuance of a reprimand by the Court does not require Supreme Court action.

INVESTIGATION

Investigations are carried out by investigators in OCTC working in partnership with OCTC attorneys. Investigators may interview witnesses and respondents, subpoena and analyze bank records, obtain court documents, and otherwise evaluate and analyze the case to determine whether there is clear and convincing evidence of attorney misconduct that would allow OCTC to bring disciplinary proceedings in Court. If it is determined that there is sufficient evidence to support a disciplinary proceeding, the complaint advances to the charging stage.

When multiple complaints are made against the same attorney, OCTC may focus its resources and prosecutorial efforts on those complaints most likely to result in discipline. In such an event, the investigation of the other complaints may be suspended or “held.” If the Supreme Court orders the attorney’s disbarment, prosecution of the suspended cases will no longer be necessary and the remaining complaints will not be investigated further.⁵⁷ If the attorney receives discipline less than disbarment, however, OCTC will reassess and may re-activate any suspended investigations. If an attorney is the subject of a criminal prosecution or party to a civil action for the same misconduct, OCTC may suspend its investigation until the criminal or civil proceedings have concluded.

CHARGING

Before finalizing formal charges, OCTC evaluates the evidence gathered during the investigation and any subsequent information received from the respondent or other source. Where OCTC has determined there is sufficient evidence to file a Notice of Disciplinary Charges, OCTC will notify the respondent in writing of the intent to file such charges and the attorney’s right to request a confidential Prefiling Settlement Conference (PSC). Either party may request a PSC before a SBC judge who will work with the parties to see if a resolution can be reached prior to the filing of disciplinary charges. Prior to the PSC, the parties must meet and confer and then lodge with the PSC judge settlement conference statements. OCTC is also required to submit a draft or summary of the charges and OCTC’s settlement position. Regardless of whether either party requests a PSC, OCTC also provides the respondent an opportunity to request informal discovery and to discuss potential settlement. If the parties are unable to reach a resolution or the respondent does not respond to OCTC’s written notice, OCTC will proceed to file charges.

After the filing of formal charges, the parties may explore the appropriateness of participation in the Alternative Discipline Program (program) for respondents with substance abuse and/or mental health concerns. Participation is contingent upon the following: (1) the Court’s approval of a stipulation of facts and conclusions of law signed by the parties; (2) evidence that the respondent’s substance abuse or mental health issue causally contributed to the misconduct;

⁵⁷ Complainants in cases dismissed under these circumstances are eligible for reimbursement through the Client Security Fund.

and (3) respondent's acceptance into the State Bar's Lawyer Assistance Program. The extent and severity of the respondent's stipulated misconduct, including the degree of harm suffered by their clients, if any, are factors in determining eligibility for the Program. The stipulation includes the level of discipline that will be imposed if the program is completed successfully, and a higher level of discipline that will be imposed if the attorney does not complete the program.

HEARING AND REVIEW

After the filing of disciplinary charges, OCTC prosecutes the case in the SBC's Hearing Department, which is the trial level of the Court. Five full-time judges hear and decide cases and make recommendations to the Supreme Court in cases where proposed discipline includes suspension or disbarment. If the discipline is limited to reproof, it is imposed by the SBC.

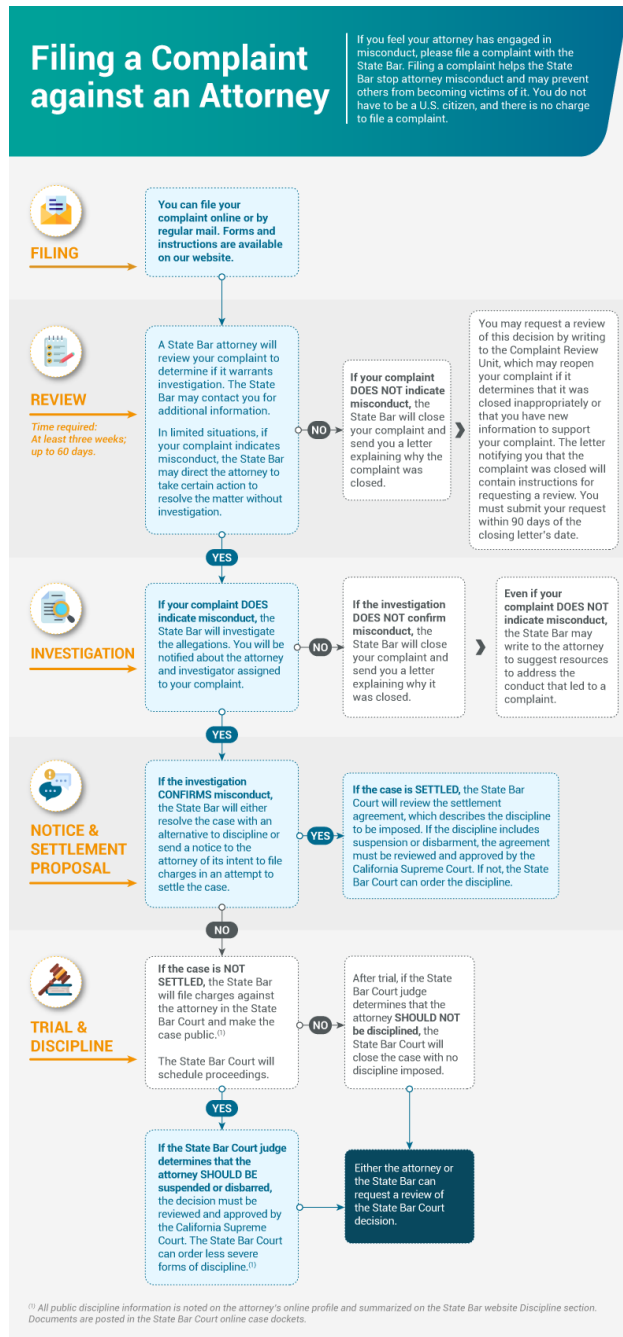
The Review Department is the appellate level of the SBC, consisting of the presiding judge and two other review judges. The three-judge panel acts on a statewide basis to conduct de novo reviews of Hearing Department decisions and orders in cases in which at least one of the parties has sought review. In addition, Review judges exercise temporary suspension and other powers delegated by the Supreme Court according to rule 9.10, California Rules of Court; they also conduct discretionary interlocutory review on issues materially affecting the outcome of Hearing Department cases.

SUPREME COURT

Upon the filing of the SBC's decision and the record with the Supreme Court, the Supreme Court conducts its own independent determination and action. Discipline that includes suspension or disbarment is not imposed until the Supreme Court issues its final order or decision.

Chart D1 on the following page shows the flow of client complaints, as described above. Charts D2 and D3 on the subsequent pages reproduce the brochure published on the State Bar's website in English and Spanish and provided to members of the public who contact the State Bar.

Chart D1: Client Complaint Flow Chart



PLEASE NOTE

If criminal conduct is suspected, the State Bar may refer complaints to a law enforcement agency for investigation and potential prosecution.

If you have lost money due to a licensed attorney's dishonest conduct, you should also apply for reimbursement from the State Bar's Client Security Fund.

If you have questions about the complaint process, or want to know the status of a complaint you have filed, call the State Bar at 800-843-9053.

Chart D2: Client Complaint Flow Chart Spanish

Cómo presentar una queja contra un abogado

Si cree que su abogado incurrió en una mala conducta, sírvase presentar una queja ante el State Bar. Al presentar una queja ante el State Bar, esto ayuda a prevenir faltas a la conducta profesional de parte de los abogados y puede evitar que otros se conviertan en víctimas. No es necesario que sea ciudadano de los EE. UU. y no hay ningún costo para presentar una queja.



⁽¹⁾ Toda la información respecto a la publicación de sanciones disciplinarias se anotará en el perfil en línea del abogado y se resume en la sección "Discipline" (Sanciones disciplinarias) del sitio web del State Bar. Los documentos se publican en la lista en línea de casos del Tribunal del State Bar.

TENGA EN CUENTA

Si se sospecha una conducta delictiva, el State Bar puede remitir las quejas a una agencia de orden público para su investigación y para un posible proceso penal.

Si usted perdió dinero por la conducta deshonestada de un abogado con licencia, debe solicitar el reembolso del Client Security Fund del State Bar.

Si tiene preguntas sobre el proceso de quejas o quiere conocer el estado de un reclamo que usted presentó, llame al State Bar al 800-843-9053.



APPENDIX E: REPORT METHODOLOGY

SUMMARY OF CHANGES

No methodological changes have been made for this year's report. This year's report includes a new appendix related to the Diversion Program and includes five years of comparative data in addition to the data for the most recent fiscal year consistent with the requirements of Bus. & Prof. Code section 6066.15(d)(1).

The most recent methodological changes occurred with the 2022 report. These changes were primarily driven by amendments to section 6086.15 as part of Senate Bill 211 (Ch. 723, Stats. of 2021) which modified the reporting period from calendar year to state fiscal year, and introduced new reporting requirements for case inventory and criminal conviction transmittals. The details of changes are documented in the 2022 report.

CASE TYPE DEFINITIONS

All original matter complaints are included in the report, whereas the pre-2022 reports only included complaints originating from complaining witnesses. Two sets of factors within the OCTC case management system are used to determine case type: 1. Case type code; 2. Case origin code. Prior to the 2022 report, "Complaints," included only those with case type coded as "O" and the Origin field containing the code value of "CW" ("Complaining Witness"). Both restrictions were modified for 2022 and all reports going forward to broaden the definition for "Complaints." In addition to "O" case type, two new case type codes are now reported in the "Complaint" case type, including "J" (complaint referrals from other jurisdictions) and "N" (9.20 violation of SBC Order). As for the Origin field, this was expanded to include all origins, except for "State Bar-Initiated" and "Probation Referral."⁵⁸

Similarly, for case type "Reportable Action – Other," it was previously based on case type being tied to specific reportable actions (referrals from the bank, court, insurance, etc.) as well as the Case Origin coded with a specific list of origins. This restrictive criterion resulted in a small number of cases not being reported when the Case Origin field is blank. Cases in the "Reportable Action – Other" category now do not have any reliance on the Case Origin code and include all Reportable Action cases that are not self-reported.

⁵⁸ Since 2020 when the reporting database for ADR switched from the legacy system to Odyssey, OCTC's new case management system implemented in 2019, the State Bar has been evaluating the report's query logic and the underlying data on an ongoing basis. One of the long-standing issues has to do with the parameters that grouped the cases into five major categories: 1) Complaints; 2) State Bar initiated inquiries; 3) Probation referrals; 4) Reportable Action – Self; and 5) Reportable Action – Other. These five case types are reported in a few key tables related to different metrics, including Tables SR-1B on case processing goals, SR-2 on case inventory and disposition, and SR-5 on case processing time.

DATA

Until 2018, the State Bar used the AS400 database to manage information in the OCTC, Probation, and SBC. While AS400 is a functional database, it is an antiquated system lacking many key features required for a modern case management system, such as the paperless handling of cases.

The State Bar identified Odyssey as a replacement for the AS400 for handling discipline cases, and spent several years working with the Odyssey developer, Tyler Technologies, to configure Odyssey to the State Bar's needs. Beginning in mid-2018, Odyssey was used for case management and tracking of discipline cases. In 2018, cases were entered in both Odyssey and AS400; the 2018 ADR was based on data drawn from AS400.

PRIOR YEAR DATA

Beginning in 2019, case management transitioned entirely to Odyssey, and information was no longer entered into AS400. While data from AS400 was converted into Odyssey, the migration of prior year data was not perfect. Replication of prior year data for the ADR from Odyssey proved challenging, requiring significant staff resources to identify and correct data migration errors. A decision was made to freeze data reported in the 2018 ADR that was previously obtained from AS400. In producing the ADR statistical tables, all data since 2019 are refreshed to assess any changes that might have occurred compared to what were previously reported. Where changes are significant, data for the previous year will be updated in the report, with a footnote providing appropriate explanation as needed.

DATA EXTRACTION

Data in Odyssey is stored in a series of highly normalized databases, which means there are many tables that need to be reviewed in order to locate the ones that are needed. Using Structured Query Language the State Bar currently extracts 67 tables on a regular basis to create 32 datasets.

These datasets are then converted to Stata data files. Stata is a statistical analysis software package that allows the State Bar to filter, shape, and analyze the data needed for the ADR tables. Stata also allows us to program and automate the conversion of the raw data for the ADR to give consistent results that are easy to reproduce as time goes on.

While the Odyssey extracts include cases from both OCTC and SBC, discipline case statistics reported in the ADR are generated from OCTC case numbers only. In tables that report on non-case-related activities such as discipline costs, other State Bar databases are accessed.

The datasets are separated into the different functional areas used when entering the data into Odyssey. For instance, there is a case summary dataset showing case number, case type, file date, etc., while another dataset is focused on the various events a case goes through. The common thread between the datasets is an ID number that can be used to link them

together. The following describes how each data element of the statutory tables was derived using Stata data files generated from Odyssey data extracts.

SR-1A. Inventory
Main categories

Type	Description
Pending at Beginning	Number of open cases at the start of the reporting period. Includes all case types.
Opened During	Number of cases opened during the reporting period. Includes all case types.
Closed During	Number of cases disposed during the reporting period. Includes all case types.
Pending at End	Number of cases remained pending at the close of the reporting period. Includes all case types.

Note: This table was added per SB211, section 6086.15(a)(1).

SR-1B. Case Processing
Main Categories

Type	Description
Noncomplex Cases	Includes all cases that are not complex. The case processing goal for noncomplex cases is to dismiss a complaint or admonish an attorney, or to file formal charges within 180 days. Case type definitions are the same as those used in SR-2 and SR-5.
Complex Cases	<p>Cases are determined complex if they have any of the following characteristics:</p> <ul style="list-style-type: none"> • Case requires subpoenas to banks, courts, or another third-party entity • Case has numerous potential charges • Case has numerous potential parties • Case is designated as a major case • Respondent is uncooperative and fails to provide information to OCTC as requested within a reasonable time or at all <p>The case processing goal for complex cases is to dismiss a complaint, admonish an attorney, or file formal charges</p>

	within 365 days. Case type definitions are the same as those used in SR-2 and SR-5.
All Cases	Includes noncomplex and complex cases.

Note: This table was added per SB211, 6086.15(a)(1) and 6094.5(a).

Subcategories

Type	Description
Cases Meeting Goals	Number and percent of cases that met case processing goal.
Cases Not Meeting Goals	Number and percent of cases that did not meet case processing goal.
Total	Total number of cases.

SR-2. Inquiries and Complaints

Main Categories

Type	Description
Summary: All Case Types	Sum of all case types listed below.
Complaints	Discipline in other jurisdiction ⁵⁹ , original complaints, and rule 9.20 violations (SBC), except those with specific origins as noted below.
State Bar-Initiated Inquiries	Discipline in other jurisdiction and original complaints initiated by the State Bar.
Probation Referrals	Probation violations, reprobation violations, rule 9.20 violations (Supreme Court); also original complaints, and discipline in other jurisdiction, with a probation referral origin.
Reportable Actions – Self	Self-reported actions concerning contempt orders, insurance claims, sanction orders, judgments, reversal of judgments, multiple lawsuits, civil penalties, discipline by other licensing agency or discipline in other jurisdiction.

⁵⁹ Case type definitions have been broadened to include these cases in order to improve data quality and transparency.

Type	Description
Reportable Actions – Other	All other reportable actions including actions reported by courts, banks, other licensing agencies or insurance companies, concerning insufficient funds, contempt orders, insurance claims, sanction orders, judgments, reversals of judgment, prosecutors withholding exculpatory evidence, civil penalties, or discipline by other licensing agency.

Subcategories

Type	Description
Cases Pending in OCTC at Year Start	Number of open cases at the start of the reporting period in OCTC.
Cases Received	Number of cases that were opened during the reporting period.
Cases Reopened	Number of cases that went from a closed status to an open status.
Closed by OCTC with No Action	Number of OCTC cases that were closed with no referral and/or with no other nondisciplinary action. The cases status is used to determine the type of closure.
Closed by OCTC with Referral	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Alternative Dispute Resolution • Fee Arbitration Matter • Family Support Referral • Fee Arbitration Award Referral • Referral
Closed by OCTC with Nondisciplinary Action	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Cease and Desist • Communication • Directional Letter • Return of File Letter Sent • Resource Letter • Warning Letter
Total Cases Closed by OCTC	Total of the previous three sections.
Filed in SBC	Number of OCTC cases that moved from a pre-filing status to a post-filing status.
Cases Pending in OCTC at Year End	Number of OCTC cases open at year end.

Type	Description
Cases Pending in SBC at Year Start	Number of open court cases at the start of the reporting period.
Closed by SBC with No Action	Number of cases closed by the SBC without nondisciplinary or disciplinary action.
Closed by SBC with Nondisciplinary Action	Number of SBC cases closed with “Admonition” status.
Closed with Discipline Imposed	Number of SBC cases closed with the following type of status: <ul style="list-style-type: none"> • Public Reproval • Private Reproval • Actual Suspension • Stayed Suspension • Disbarment
Total Cases Closed by SBC	Total of the previous three sections.
Cases Pending in SBC at Year End	Number of court cases open at year end.

SR-3. Reportable Actions, Reported by Self
Main Categories

Type	Description
Summary: All Reportable Actions, Reported by Self	Sum of all cases listed below.
Three or More Malpractice Lawsuits Filed within 12 Months (6068, subd.(o)(1))	Self-reported multiple lawsuit cases.
Judgment in Civil Case for Fraud, Misrepresentation, Gross Negligence, etc. (6068, subd.(o)(2))	Self-reported judgment cases.
Judicial Sanctions Imposed (6068, subd.(o)(3))	Self-reported sanction order cases.
Felony Indictment (6068, subd. (o)(4))	Self-reported conviction matters with a felony charge.
Conviction of Felony, or Misdemeanor Related to Practice of Law (6068, subd. (o)(5))	Self-reported conviction matters with a convicted status.

Discipline by Professional Agency or Licensing Board (6068, subd. (o)(6))	Self-reported discipline in other jurisdiction cases.
Reversal of Judgment Based on Misconduct, Gross Incompetence, etc. (6068, subd. (o)(7))	Self-reported reversals of judgment case.
Settlement or Judgment for Fraud, Misrepresentation, Gross Negligence, etc. (6086.8, subd. (c))	Self-reported insurance claims.

Subcategories

Type	Description
Cases Received	Number of cases that were opened during the reporting period.
Cases Reopened	Number of cases that went from a closed status to a open status.
Closed by OCTC with No Action	Number of all OCTC closed cases that were closed with no referral and/or with no other nondisciplinary action. The case's status is used to determine the type of closure.
Cases Closed by OCTC with Referral	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Alternative Dispute Resolution • Fee Arbitration Matter • Family Support Referral • Fee Arbitration Award Referral • Referral
Cases Closed by OCTC with Nondisciplinary Action	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Cease and Desist • Communication • Directional Letter • Return of File Letter Sent • Resource Letter • Warning Letter
Total Cases Closed by OCTC	Total of the previous three sections.
Average Pendency at Closure	Average age of cases that were closed by OCTC.

Type	Description
Median Pendency at Closure	Median age of cases that were closed by OCTC.
Cases Filed in SBC	Number of OCTC cases that moved from a pre-filing status to a post-filing status.
Average Pendency at Filing	Average age of cases at time of filing in SBC.
Median Pendency at Filing	Median age of cases at time of filing in SBC.
Cases Remaining in OCTC at Year End	Number of OCTC cases open at the end of the year.
Average Pendency at Year End	Average age of cases that were open in OCTC at year end.
Median Pendency at Year End	Median age of cases that were open in OCTC at year end.
Cases Closed by SBC with No Action	Number of all cases closed by the SBC without nondisciplinary or disciplinary action.
Cases Closed by SBC with Nondisciplinary Action	Number of SBC cases closed with “Admonition” status.
Cases Closed with Discipline Imposed	Number of SBC cases closed with the following type of status: <ul style="list-style-type: none"> • Public Repeval • Private Repeval • Actual Suspension • Stayed Suspension • Disbarment
Total Cases Closed by SBC	Total of the previous three sections.
Average Pendency at Closure	Average age of cases that were closed by SBC.
Median Pendency at Closure	Median age of cases that were closed by SBC.
Cases Remaining in SBC at Year End	Number of SBC cases open at year end.
Average Pendency at Year End	Average age of open cases in SBC at year end.
Median Pendency at Year End	Median age of open cases in SBC at year end.

SR-4. Reportable Actions, Reported by Others
Main Categories

Type	Description
Summary: All Reportable Actions, Reported by Others	Sum of all cases listed below.
Order of Contempt (6086.7, subd.(a)(1))	Court reported contempt order cases.
Modification or Reversal of Judgment Based on Misconduct, etc. (6086.7, subd. (a)(2))	Court reported reversals of judgment cases.
Judicial Sanctions Imposed (6086.7, subd. (a)(3))	Court reported sanction order cases.
Civil Penalty for Providing False Information to Indian Tribe in Adoption case (6086.7, subd. (a)(4))	Court reported civil penalty as applicable
Prosecutorial Misconduct (6086.7, subd. (a)(5))	Court reported prosecutor misconduct
Judgment in Civil Case for Fraud, Misrepresentation, Gross Negligence, etc. (6086.8, subd.(a))	Court reported judgment cases.
Claim or Action for Damages for Fraud, Misrepresentation, etc. (6086.8, subd. (b))	Insurance company reported insurance claim cases.
Overdraft of Attorney Trust Accounts (6091.1)	Bank reported insufficient funds cases.
Filing of Misdemeanor or Felony Charges (6101, subd. (b))	Prosecuting agency reported conviction matters.
Criminal Conviction (6101, subd. (c))	Court clerk reported conviction matters.

Subcategories

Type	Description
Cases Received	Number of cases that were opened.
Cases Reopened	Number of cases that went from a closed status to an open status.

Type	Description
Closed by OCTC with No Action	Number of all OCTC closed cases that were not closed with referral or with nondisciplinary action. Case status is used to determine the type of closure.
Cases Closed by OCTC with Referral	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Alternative Dispute Resolution • Fee Arbitration Matter • Family Support Referral • Fee Arbitration Award Referral • Referral
Cases Closed by OCTC with Nondisciplinary Action	Number of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Cease and Desist • Communication • Directional Letter • Return of File Letter Sent • Resource Letter • Warning Letter
Total Cases Closed by OCTC	Total of the previous three sections.
Average Pendency at Closure	Average age of cases that were closed by OCTC.
Median Pendency at Closure	Median age of cases that were closed by OCTC.
Cases Filed in SBC	Number of OCTC cases that moved from a pre-filing status to a post-filing status
Average Pendency at Filing	Average age of cases at time of filing in SBC.
Median Pendency at Filing	Median age of cases at time of filing in SBC.
Cases Remaining in OCTC at Year End	Number of OCTC cases open at year end.
Average Pendency at Year End	Average age of cases that were open in OCTC at year end.
Median Pendency at Year End	Median age of cases that were open in OCTC at year end.
Cases Closed by SBC with No Action	Number of cases closed by the SBC without nondisciplinary or disciplinary action.
Cases Closed by SBC with Nondisciplinary Action	Number of SBC cases closed with “Admonition” status.

Type	Description
Cases Closed with Discipline Imposed	Number of SBC cases closed with the following type of status: <ul style="list-style-type: none"> • Public Repeval • Private Repeval • Actual Suspension • Stayed Suspension • Disbarment
Total Cases Closed by SBC	The total of the previous three sections.
Average Pendency at Closure	Average age of cases that were closed by SBC.
Median Pendency at Closure	Median age of cases that were closed by SBC.
Cases Remaining in SBC at Year End	Number of SBC cases open at year end.
Average Pendency at Year End	Average age of cases that were open in SBC at year end.

**SR-5. Speed of Complaint Handling
Main Categories**

Type	Description
Summary: All Case Types	Sum of all cases listed below.
Complaints	Discipline in other jurisdiction, ⁶⁰ original complaints, and rule 9.20 violations (SBC), except those with specific origins as noted below.
State Bar-Initiated Inquiries	Original and discipline in other jurisdiction complaints initiated by the State Bar.
Probation Referrals	Probation violations, reprovall violations, rule 9.20 violations (Supreme Court); also, original complaints and discipline in other jurisdiction, with a probation referral origin.
Reportable Actions, Self	Self-reported actions concerning contempt orders, insurance claims, sanction orders, judgments, reversal of judgments, multiple lawsuits, civil penalties, discipline by other licensing agency or discipline in another jurisdiction.

⁶⁰ Case type definitions have been broadened to include these cases to improve data quality and transparency.

Reportable Actions, Other	All other reportable actions, including actions from courts, banks, other licensing agencies, or insurance companies, concerning insufficient funds, contempt orders, insurance claims, sanction orders, judgments, reversal of judgments, prosecutor withholding exculpatory evidence, civil penalties, or discipline by other licensing agency.
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Subcategories

Type	Description
Pendency at Closure by OCTC with No Action <ul style="list-style-type: none"> • Average • Median 	Average and median age of cases closed by OCTC that were not closed with referral or with nondisciplinary action.
Pendency at Closure by OCTC with Referral <ul style="list-style-type: none"> • Average • Median 	Average and median age of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Alternative Dispute Resolution • Fee Arbitration Matter • Family Support Referral • Fee Arbitration Award Referral • Referral
Pendency at Closure by OCTC with Nondisciplinary Action <ul style="list-style-type: none"> • Average • Median 	Average and median age of OCTC cases closed with the following type of status: <ul style="list-style-type: none"> • Cease and Desist • Communication • Directional Letter • Return of File Letter Sent • Resource Letter • Warning Letter
Pendency at Closure by OCTC <ul style="list-style-type: none"> • Average • Median 	Average and median age of cases that were closed by OCTC.
Pendency at SBC Filing <ul style="list-style-type: none"> • Average • Median 	Average and median age of cases at time of filing in SBC.
Pendency at Year End in OCTC <ul style="list-style-type: none"> • Average • Median 	Average and median age of cases that were open in OCTC at year end.
Pendency at Closure by SBC with No Action* <ul style="list-style-type: none"> • Average 	Average and median age of cases closed by the SBC without nondisciplinary or disciplinary action.

Type	Description
<ul style="list-style-type: none"> • Median 	
Pendency at Closure by SBC with Nondisciplinary Action <ul style="list-style-type: none"> • Average • Median 	Average and median age of SBC cases closed with “Admonition” status.
Pendency at Closure by SBC with Disciplinary Action <ul style="list-style-type: none"> • Average • Median 	Average and median age of SBC cases closed with the following type of status: <ul style="list-style-type: none"> • Public Reapproval • Private Reapproval • Actual Suspension • Stayed Suspension • Disbarment
Pendency at Closure by SBC <ul style="list-style-type: none"> • Average • Median 	Average and median age of cases that were closed by SBC.
Pendency at Year End in SBC <ul style="list-style-type: none"> • Average • Median 	Average age of cases that were open in SBC at year end.

**SR-6A. Formal Filings
Main Categories**

Type	Description
Notices of Disciplinary Charges	An event of “Notice of Disciplinary Charges Filed” entered in a case by OCTC.
Stipulations to Facts and Discipline	<p>A status or an event entered by SBC indicating that a stipulation has been filed and approved does not include cases that already had a notice of disciplinary charges filed. SBC cases are linked back to their original OCTC cases in order to get the full case count.</p> <p>Stipulations data are incomplete in OCTC’s records. To capture the correct number of stipulations, OCTC filings are matched to SBC filings and stipulations are counted from the SBC filings.</p> <p>If a case has a stipulation and a Notice of Disciplinary Charges or a Criminal Conviction Transmittal, the case is not counted as a stipulation; the case is counted within Notice of Disciplinary Charges or Criminal Conviction Transmittals.</p>

Criminal Conviction Transmittals	A status triggered by a case being filed in SBC. Only criminal conviction matters are included.
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Subcategories

Type	Description
Number of Filings	Number of cases where a filing occurred.
Average Pendency at Filing	Average age of the cases at time of filing.
Median Pendency at Filing	Median age of the cases at time of filing.
Number of Attorneys	Number of attorneys with respect to filings

SR-6B. Formal Disciplinary Outcomes

Main Categories

Type	Description
Disbarment	An event entered in SBC that shows a disposition of disbarment and has accompanying case close event.
Suspension Actual/Probation	An event entered in SBC that shows a disposition of suspension actual/probation and has an accompanying case close event.
Suspension Stayed/Probation	An event entered in SBC that shows a disposition of suspension stayed/probation and has an accompanying case close event.
Suspension (Calendar Year Only)	A category no longer reported that represented a combination of the previous two sections.
Public Reproval	An event entered in SBC that shows a disposition of public reproval and has an accompanying case close event.
Private Reproval	An event entered in SBC that shows a disposition of private reproval, and has an accompanying case close event.

Subcategories

Type	Description
Number of Cases	Number of cases where the disciplinary outcome occurred.
Average Pendency	Average age of the cases at time the disciplinary outcome occurred.
Median Pendency	Median age of the cases at time the disciplinary outcome occurred.
Number of Attorneys	Number of attorneys that received the disciplinary outcome.

SR-7A. Other Matters

Main Categories

Type	Description
Petitions to Terminate Practice per § 6180/6190	Cases entered with the case type of “6180/6190.”
Interim Suspensions and Restrictions per § 6007	Cases entered with the following case types: <ul style="list-style-type: none"> • Inactive § 6007(b)(1) insanity or mental incompetence. • Inactive § 6007(b)(2) order jurisdiction over practice. • Inactive § 6007(b)(3) mental illness or substance abuse. • Inactive § 6007(c) substantial threat of harm to client/public. • Interim remedies § 6007(h) restricted practice.
Motions to Enforce Fee Arbitration Award	Cases entered with the case type of “Fee Arbitration.”
Motions to Revoke Probation	Cases entered with the case type of “Probation Revocation.”

Subcategories

Type	Description
Cases Opened	Number of cases that were opened.

Type	Description
Cases Reopened	Number of cases that went from a closed status to an open status, or has a reopen status.
Closed by OCTC without Filing	Number of cases that were closed by OCTC.
Average Pendency at Closure	Average age of cases that were closed by OCTC.
Median Pendency at Closure	Median age of cases that were closed by OCTC.
Cases Filed in State Bar Court	Number of OCTC cases that moved from a pre-filing status to a post-filing status.
Average Pendency at Filing	Average age of cases at time of filing in SBC.
Median Pendency at Filing	Median age of cases at time of filing in SBC.
Cases Remaining in OCTC at Year End	Number of OCTC cases open at the end of the year.
Average Pendency at Year End	Average age of cases that were open in OCTC at year end.
Median Pendency at Year End	Median age of cases that were open in OCTC at year end.
Petitions Granted	<p>A count of one of the following events depending on the main category:</p> <ul style="list-style-type: none"> • § 6180/6190 <ul style="list-style-type: none"> ○ Order issued by Superior Court granting interim order. ○ Order issued by Superior Court granting permanent order. • § 6007 <ul style="list-style-type: none"> ○ Closed – Post-filing – Granted. • Fee Arbitration <ul style="list-style-type: none"> ○ Disposition transfer inactive arbitration enforcement. ○ Disposition retransfer active arbitration enforcement. • Probation Revocation <ul style="list-style-type: none"> ○ Disposition revoke probation/actual suspension. ○ Disposition revoke probation/stayed suspension.
Petitions Denied	A count of one of the following events depending on the main category:

Type	Description
	<ul style="list-style-type: none"> • § 6180/6190 <ul style="list-style-type: none"> ○ Order issued by Superior Court denying interim order. ○ Order issued by Superior Court denying permanent order. • § 6007 <ul style="list-style-type: none"> ○ Closed – Post-filing – Denied. • Fee Arbitration <ul style="list-style-type: none"> ○ If no event for petition granted is found, then it will be counted as denied. • Probation Revocation <ul style="list-style-type: none"> ○ If no event for petition granted is found, then it will be counted as denied.
Total Cases Disposed by SBC	The total of the petitions granted and denied.
Average Pendency at Disposition	Average age of cases that were closed by SBC.
Median Pendency at Disposition	Median age of cases that were closed by SBC.
Cases Remaining in SBC at Year End	Number of SBC cases open at year end.
Average Pendency at Year End	Average age of cases that were open in SBC at year end.
Median Pendency at Year End	Median age of cases that were open in SBC at year end.

**SR-7B. Specified Dispositions
Main Categories**

Type	Description
Admonitions	An event entered that shows a disposition of admonition.
Agreements in Lieu of Discipline	A status entered by OCTC, that shows an agreement in lieu of discipline.
Warning Letters	An event entered in OCTC, that shows a disposition of a warning letter sent.
Directional Letters	An event entered in OCTC, that shows a disposition of a directional letter sent.

Resource Letters	An event entered in OCTC, that shows a disposition of a resource letter sent.
Private Reprovals	An event entered in SBC, that shows a disposition of private reapproval, and has an accompanying case close event.

Subcategories

Type	Description
Number of Cases	Number of cases where the disposition occurred.
Average Pendency	Average age of the cases at time the disposition occurred.
Median Pendency	Median age of the cases at time the disposition occurred.
Number of Attorneys	Number of attorneys that received the disposition.

SR-8. UPL by Former Attorneys

SR-9. UPL by Nonattorneys

Main Categories

Type	Description
SR-8 UPL Former Attorneys	Cases entered with case type “6180/6190” and a charge of “Superior Court Assumption of Practice of Former Attorney/UPL”
SR-9 UPL Nonattorneys	Cases entered with case type “Unauthorized Practice of Law Nonattorney”

Subcategories

Type	Description
Cases Opened	Number of cases that were opened.
Cases Closed without Filing	Number of cases that were closed by OCTC.
Average Pendency at Closure	Average age of cases that were closed by OCTC.
Median Pendency at Closure	Median age of cases that were closed by OCTC.
Cases Filed in Superior Court	Number of cases that moved from a pre-filing to status to a post-filing status.

Type	Description
Average Pendency at Filing	Average age of cases at time of filing in Superior Court.
Median Pendency at Filing	Median age of cases at time of filing in Superior Court.
Cases Remaining in OCTC at Year End	Number of OCTC cases open at year end.
Average Pendency at Year End	Average age of cases that were open in OCTC at year end.
Median Pendency at Year End	Median age of cases that were open in OCTC at year end.
Petitions Granted	A case with the either one of the following events: <ul style="list-style-type: none"> • Order Issued by Superior Court Granting Interim Order • Order Issued by Superior Court Granting Permanent Order
Average Pendency at Petition Granted	Average age of cases at time petition was granted.
Median Pendency at Petition Granted	Median age of cases at time petition was granted.
Petitions Denied	A case with the either one of the following events: <ul style="list-style-type: none"> • Order Issued by Superior Court Denying Interim Order • Order Issued by Superior Court Denying Permanent Order
Average Pendency at Petition Denied	Average age of cases at time petition was denied.
Median Pendency at Petition Denied	Median age of cases at time petition was denied.
Total Cases Disposed by Superior Court	The total of the petitions granted and denied.
Average Pendency at Disposition	Average age of cases that were closed by Superior Court.
Median Pendency at Disposition	Median age of cases that were closed by Superior Court.
Civil Remedies Pursuant to Section 6126.7 (table 9 only)	A count of every case where the charge "6126.7" has been entered.
Law Enforcement Referrals	A count of every instance the event "Law Enforcement Referral Sent" has been entered.
Cease and Desist Letters Sent	A case where the event "Cease and Desist Letter Sent" has been entered.

SR-10A. Disposition of Felony Convictions
Main Category

Type	Description
Felony Convictions	Cases entered with case type "Criminal Matter" and a charge degree of "Felony" and a charge status of "Conviction."

Subcategories

Type	Description
Felony Convictions	The count of felony convictions.
Cases Filed in State Bar Court	The count of cases that were submitted by OCTC to SBC.
Average Days from Conviction to Filing in Court	Calculation of the average age of cases that were submitted by OCTC to SBC.
Median Days from Conviction to Filing in Court	Calculation of the median age of cases that were submitted by OCTC to SBC.
Cases Disposed in State Bar Court	The count of cases that were disposed by SBC.
Average Days from Filing to Disposition in Court	Calculation of the average age of cases at time of disposition in SBC.
Median Days from Filing to Disposition in Court	Calculation of the median age of cases at time of disposition in SBC.
SBC Dispositions	
Disbarment	An event entered in SBC, that shows a disposition of disbarment, and has accompanying case close event.
Dismissal	An event entered in SBC, that shows a disposition of dismissal, and has an accompanying close event.
Suspension Actual/Probation	An event entered in SBC, that shows a disposition of suspension actual/probation, and has an accompanying case close event.
Suspension Stayed/Probation	An event entered in SBC, that shows a disposition of suspension stayed/probation, and has an accompanying case close event.
Suspension	A category no longer reported that represented a combination of the previous two sections.
Public Repeval	An event entered in SBC, that shows a disposition of public reapproval, and has an accompanying case close event.

Type	Description
Private Repeal	An event entered in SBC, that shows a disposition of private repeal, and has an accompanying case close event.
Termination Due to Resignation	An event entered in SBC, that shows a disposition of termination due to resignation, and has an accompanying close event.
Termination Due to Death	An event entered in SBC, that shows a disposition of termination due to death, and has an accompanying close event.

SR-10B. Conviction Transmittals

Main Category

Type	Method
Conviction Transmittals	Cases that are felony or misdemeanor that are transmitted to SBC.

Subcategories

Type	Description
Conviction Transmittals	Count of transmittals sent during the period.
Number Meeting 30-day Transmittal	Number of transmittals that were sent within 30 days of receipt.
% meeting 30-day Transmittal	Percentage of transmittals that were sent within 30 days of receipt.
Number Not Meeting 30-day Transmittal	Number of transmittals that were not sent within 30 days of receipt.
% not Meeting 30-day Transmittal	Percentage of transmittals that were not sent within 30 days of receipt.
Number with No Transmittal Time	Number of transmittals where no time data was available.
% with No Transmittal Time	Percentage of transmittals where no time data was available.

Notes: Percentages meeting and not meeting times standards calculated based only on number of cases for which transmittal time data is available.

This table added per based on amendments to 6086.15 and 6094.5 pursuant to SB 211, Ch. 734, Stats. of 2021.

**SR-11. Demand Letters Received
Main Categories**

Type	Description
Number of Letters Received	Number of demand letters received during the reporting period.
Investigations of Suspected Violations of Civil Code Section 55.31 or 55.32	Number of investigations opened during the reporting period.

**SR-12. Insurance Fraud
Main Categories**

Type	Description
Workers' Compensation	Number of suspected Workers' Compensation fraud cases.
Motor Vehicle	Number of suspected automobile insurance fraud cases.
Disability	Number of suspected disability insurance fraud cases.

Subcategories

Type	Description
Investigations Initiated	Number of cases investigated.
Suspended Pending Disbarment	Number of cases that resulted in suspension, pending disbarment.
Suspended Pending Criminal Proceedings	Number of cases that resulted in suspension, pending criminal proceedings.
Closed by OCTC with No Action	Number of OCTC closed cases that were closed with no referral and/or with no other nondisciplinary action.
Pending in Investigation at Year End	Number of cases pending in investigation at year end.
Referrals to Fraud Division	Number of cases referred to the Fraud Division.
Referrals to Law Enforcement	Number of cases referred to other law enforcement agencies.

**SR-13. Financial Services Complaints
Main Categories**

Type	Description
	No data reported as no complaints have been received since 2015.

**SR-14. Client Security Fund
Main Categories**

Type	Description
Attorney	Attorneys who had CSF claims filed against. Attorney names are not provided, as CSF rules require confidentiality under certain circumstances.
Number of CSF Claims Paid	Number of CSF claims paid during the reporting period, by attorney.
Total Amount Paid	Total amount paid to each attorney.

**SR-15. Cost of the Discipline System
Main Categories**

Type	Description
CTC	Total cost incurred by the CTC.
Probation	Total cost incurred by probation.
Mandatory Fee Arbitration	Total Mandatory Fee Arbitration program cost.
SBC	Total cost incurred by the SBC.
Professional Competence	Total Professional Competence program cost.
Attorney Regulation and Consumer Resources	Total cost for Attorney Regulation and Consumer Resources.
Communications (70%)	Total cost of Office of Communications resources devoted to help Californians understand how to access the resources of the discipline system and to ensure that attorneys understand their professional ethical obligations.
Licensee Billing (73%)	Total cost of collecting licensing fees and discipline costs incurred by Office of Finance.
General Counsel (76.3%)	Total cost of Office of General Counsel resources dedicated to supporting The State Bar's discipline programs.